

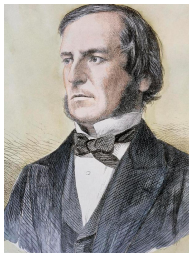
Independent Set

Boolean logic $x, y \in \{\text{TRUE}, \text{FALSE}\} = \{1, 0\}$

"x and y" $x \wedge y$

"x or y" $x \vee y$

"not x" $\bar{x}$ (or $\neg x$)



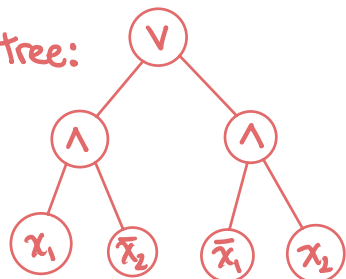
George Boole,
1815-1864

XOR ("exclusive-or")

(TRUE iff $x_1 \neq x_2$)

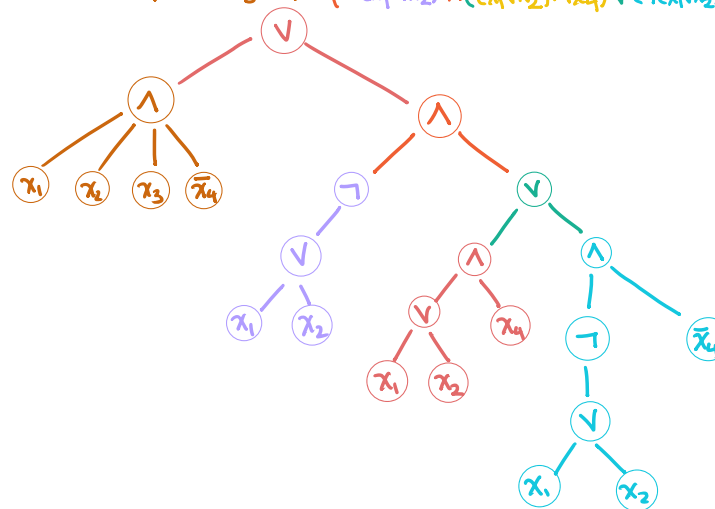
$$f(x_1, x_2) = (x_1 \wedge \bar{x}_2) \vee (\bar{x}_1 \wedge x_2)$$

as a tree:



Adding two bits $f(x_1, x_2, x_3, x_4) = \begin{cases} 1 & \text{if } x_1 + x_2 = x_3 x_4 \\ 0 & \text{otherwise} \end{cases}$

$$f(x_1, x_2, x_3, x_4) = (x_1 \wedge x_2 \wedge x_3 \wedge \bar{x}_4) \vee (\neg(x_1 \wedge x_2) \wedge ((x_1 \vee x_2) \wedge x_4) \vee (\neg(x_1 \vee x_2) \wedge \bar{x}_4))$$



"SAT Programming Language"

"x and y" $x \wedge y$

"x or y" $x \vee y$

"not x" $\bar{x}$ (or $\neg x$)

★ "z = x"

"both true or both false"
 $(z \wedge x) \vee (\bar{z} \wedge \bar{x})$

★ "if x then y else z"

"(x=1, y=1) or (x=0, y=0)"
 $(x \wedge y) \vee (\bar{x} \wedge \bar{y})$

So Boolean algebra is very expressive

Boolean Satisfiability

Input: Boolean formula $\varphi(x_1, x_2, \dots, x_n)$

• n variables • "size" m

e.g. $\varphi(0, 1, 0, 0, \neg, 1, 1) = 0$

Goal: decide if φ is "satisfiable";

i.e., if there exists $x_1, x_2, \dots, x_n \in \{0, 1\}$
s.t. $\varphi(x_1, x_2, \dots, x_n) = 1$

"search problem": we can recognize an answer (e.g. satisfying assignment x) when we see it (by evaluating $f(x)$).

- at the very least: we can try all x .
- harder "search problem" than "over/under"

Boolean Satisfiability

Input: Boolean formula $\varphi(x_1, x_2, \dots, x_n)$

- n variables
- "size" m

e.g. $\varphi(\overset{\text{(false)}}{0}, \overset{\text{(true)}}{1}, 0, 0, \neg, \overset{\text{(false)}}{1}, 1) = 0$

Boolean logic $x, y \in \{\text{TRUE}, \text{FALSE}\} = \{1, 0\}$

"x and y" $x \wedge y$

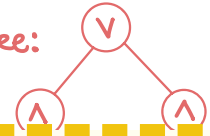
"x or y" $x \vee y$

"not x" $\bar{x}$ (or $\neg x$)

XOR ("exclusive-or") (TRUE iff $x_1 \neq x_2$)

$$f(x_1, x_2) = (x_1 \wedge \bar{x}_2) \vee (\bar{x}_1 \wedge x_2)$$

as a tree:



Goal: The Big Question

Is there a polynomial time algorithm for Boolean satisfiability?

x_2

$x_2 = x_3 x_4$

wise

$x_3 \wedge \bar{x}_4$

x_2

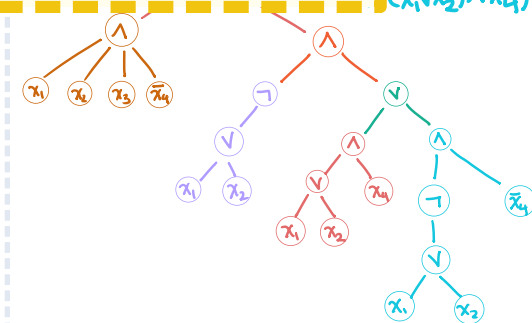
$x_2 \wedge x_4$

$(x_1 \vee x_2) \wedge \bar{x}_4$

answer (e.g. satisfying assignment x) when we see it (by evaluating $f(x)$).

- at the very least: we can try all x .

- harder "search problem" than "over/under"



"SAT Programming Language"

★ "z = x"

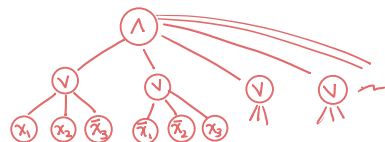
"both true or both false"
 $(z \wedge x) \vee (\bar{z} \wedge \bar{x})$

★ "if x then y else z"

"(x=1, y=1) or (x=0, z=1)"
 $(x \wedge y) \vee (\bar{x} \wedge z)$

CNF (Conjunctive Normal Form)

"and-of-or's"



$$f(x_1, \dots, x_n) =$$

"clause"

$$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (m \vee n) \wedge m$$

(CNF-) SAT problem

Given boolean formula f in CNF, decide if f is satisfiable

More modest Question

Is there a polynomial time algorithm for CNF-satisfiability?

"CNF-SAT Programming Language"

"x and y"

$x \wedge y$

"x or y"

$x \vee y$

"not x"

$\bar{x}$ (or $\neg x$)

★ "Z=X"

"both true or both false"

$$(z \wedge x) \vee (\bar{z} \wedge \bar{x})$$

$$= (z \vee (\bar{z} \wedge \bar{x})) \wedge (x \vee (\bar{z} \wedge \bar{x}))$$

$$= (z \vee \bar{z}) \wedge (z \vee \bar{x}) \wedge (x \vee \bar{z}) \wedge (x \vee \bar{x})$$

$$= (z \vee \bar{x}) \wedge (x \vee \bar{z})$$

★ "if x then y
else z"

$$"(x=1, y=1) \text{ or } (x=0, y=1)"$$

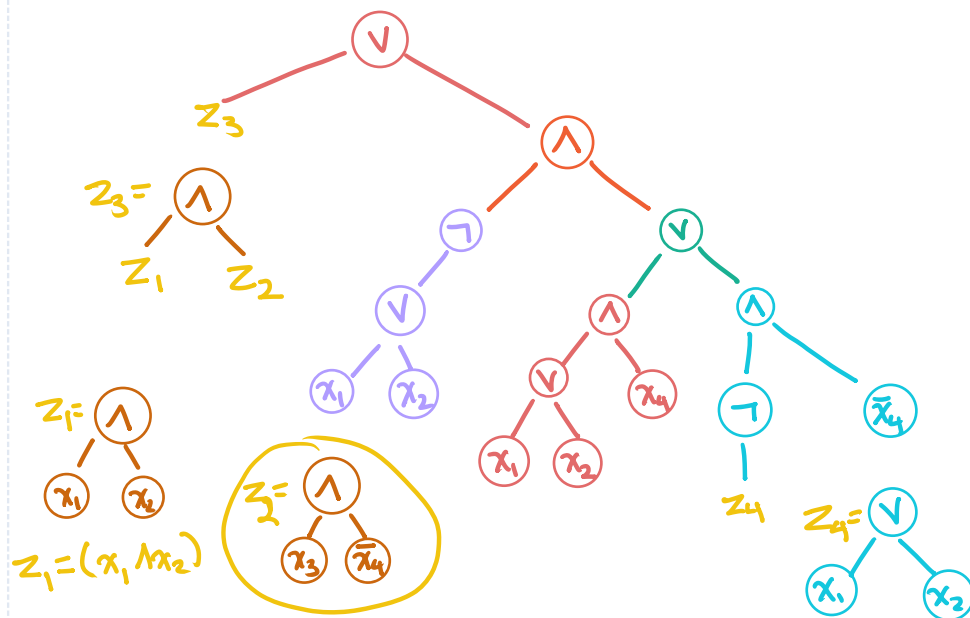
$$(x \wedge y) \vee (\bar{x} \wedge z)$$

$$= (x \vee \bar{x}) \wedge (y \vee \bar{x}) \wedge (x \vee z) \wedge (x \vee \bar{z})$$

$$= (y \vee \bar{x}) \wedge (x \vee z) \wedge (x \vee \bar{z})$$

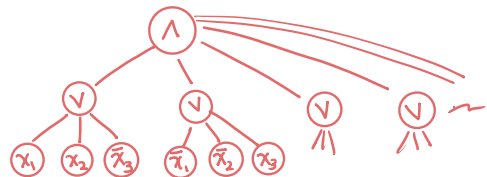
So CNF is also very expressive

Theorem: there is a poly-time algo for Boolean SAT iff there is a poly-time algo for CNF-SAT.



more special case: 3-SAT

like CNF-SAT, except w/ exactly 3 variables per clause.



$$f(x_1, \dots, x_n) =$$

$$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\sim \vee \sim \vee \sim) \wedge \sim$$

"clause"

Is there a polytime algo for 3SAT?

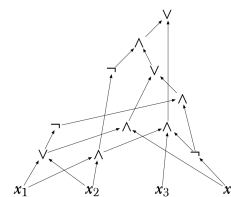
Theorem: there is a poly-time algo for Boolean SAT iff there is a poly-time algo for 3-SAT.

Conclusion

We want, but don't have, polynomial time algos for

Boolean SAT, CNF SAT, 3-SAT, or Circuit SAT

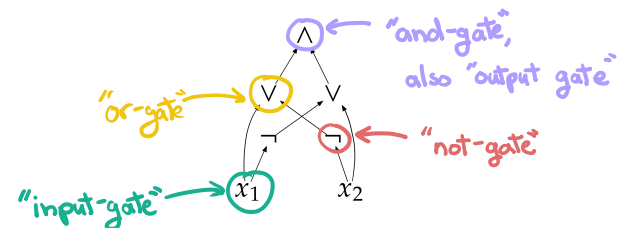
But we do know they are equivalent up to polynomial time!



(definition)

logical gates arranged as vertices in a directed acyclic graph

"gates": take some inputs bits, output 1 bit



Circuit SAT

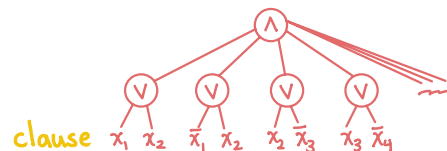
given a circuit, decide if it is satisfiable

Theorem: there is a poly-time algo for Boolean SAT iff there is a poly-time algo for Circuit SAT

2-SAT

like CNF-SAT, except w/ exactly 2 variables per clause.

$$f(x_1, \dots, x_n) =$$



$$(x_1 \vee x_2) \wedge (\bar{x}_1 \vee x_2) \wedge (x_2 \vee \bar{x}_3) \wedge (x_3 \vee \bar{x}_4) \wedge \dots$$

Max 2-SAT

like 2-SAT, except goal is to satisfy
maximum number of clauses.
(not necessarily all)

Is there a polytime algo for Max 2-SAT?

let C be a sink SCC.

Complement $\bar{C}$ is a source SCC (why?)



Assign (all literals in) $C = \text{true}$, $\bar{C} = \text{false}$

Remove C and $\bar{C}$ and recurse on
remaining SCC's

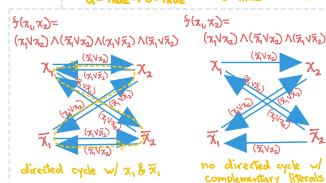
Key idea: assigning sinks to be true,
sources to be false
does not violate any edges

Implication graph

• vertex for each $x_i, \bar{x}_i$

• for clause $(a \vee b)$,

$\bar{a} \xrightarrow{(a \vee b)} b$ $\bar{b} \xrightarrow{(a \vee b)} a$
"a = TRUE $\Rightarrow$ b = TRUE" "b = TRUE $\Rightarrow$ a = TRUE"



all literals in SCC have same assignment



each SCC C has complement SCC $\bar{C}$
w/ negated literals & reversed edges

Polytime Algo

Hard as SAT^(CNF)

Abstain

2SAT

66%

44%

2

Max 2-SAT

60%

35%

5

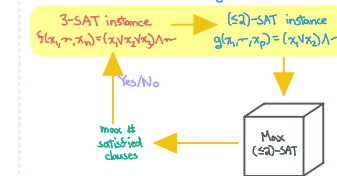
Fix a clause $(a \vee b \vee c)$
introduce new variable y

consider the 10 clauses

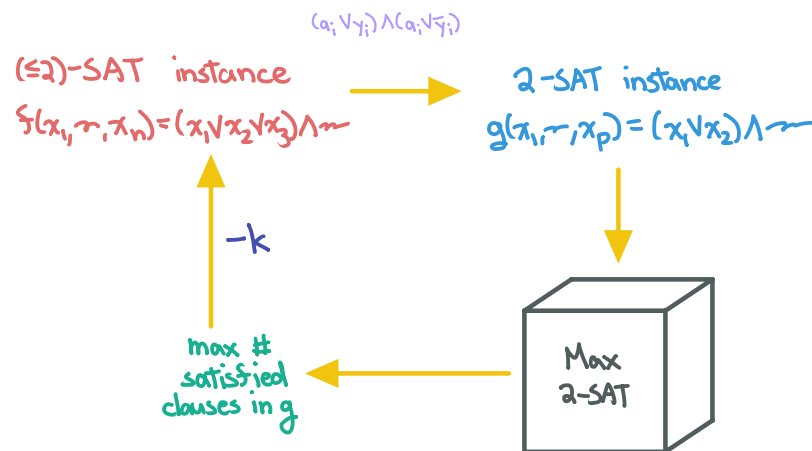
$(a), (b), (c), (\bar{a} \vee \bar{b}), (\bar{b} \vee \bar{c}), (\bar{c} \vee \bar{a}),$
 $(y), (a \vee \bar{y}), (b \vee \bar{y}), (c \vee \bar{y})$

$(a, b, c) =$	(f, f, f)	(t, f, f)	(t, t, f)	(t, t, t)
$(a), (b), (c)$	0	1	2	3
$(\bar{a} \vee \bar{b}), (\bar{b} \vee \bar{c}), (\bar{c} \vee \bar{a})$	3	3	2	0
$\max_{y \in \{t, f\}} (y), (a \vee \bar{y}), (b \vee \bar{y}), (c \vee \bar{y})$	3	3	3	4
total	6	7	7	7

Theorem: A polytime algorithm for max (2)-SAT
implies a polynomial time algorithm for SAT.



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implies a polynomial time algorithm for SAT.



Subset Sum

Input: $x_1, x_2, \dots, x_n \in \mathbb{N}, T \in \mathbb{N}$
Goal: find a subset of x_i 's that sum to T
(decision version: decide if such a subset sum exists)
e.g. 14, 13, 17, 7, 18, 18, 10, 4, 11, 10
T=52

Another "search" problem

	Polytime Algo	Hard as SAT (CNF)	Abstain
Subset Sum	10%	30%	○

★Dynamic Programming★

Recursive Spec
(Subset Sum)
 $SS(i, S) = \text{TRUE}$ if there is a subset of $x_1, \dots, x_n$ summing to S , and FALSE otherwise

Subset Sum
Input: $x_1, x_2, \dots, x_n \in \mathbb{N}$
Goal: Find a subset of x_i 's that sum to T
(decision version: decide if such a subset sum exists)
e.g. 14, 13, 17, 7, 18, 18, 10, 4, 11, 10
T=52

Implementation

$SS(i, S)$
1. If $S < 0$ then return FALSE
2. If $S = 0$ then return TRUE
3. If $n = 0$ then return FALSE
4. Return $SS(i+1, S) \vee SS(i+1, S - x_i)$

Running time:
 $O(nT)$ subproblems
 $O(1)$ time per subproblem

 $O(nT)$ time overall

Theorem: A polytime algo for subset-sum implies a polytime algo for SAT

Subset Sum
Input: $x_1, x_2, \dots, x_n \in \mathbb{N}$
Goal: Find a subset of x_i 's that sum to T
(decision version: decide if such a subset sum exists)
e.g. 14, 13, 17, 7, 18, 18, 10, 4, 11, 10
T=52

Literals $\leftrightarrow$ Long #'s
 $X = \{x_1, \bar{x}_1, \dots, x_n, \bar{x}_n\} \leftrightarrow \{A_1, \bar{A}_1, \dots, A_n, \bar{A}_n\}$
and $\{B_1, \bar{B}_1, \dots, B_m, \bar{B}_m\}$
T = 33 $\rightsquigarrow$ 3 $\frac{1}{m}$ clauses $\frac{1}{n}$ variables $\rightsquigarrow$ 1
3-SAT instance $\rightarrow$ $x_1, x_2, \dots, x_p \in \mathbb{N}$
 $S(x_1, \dots, x_n) = (x_1 \vee x_2 \vee x_3) \wedge \dots$
T $\in \mathbb{N}$

Yes/No for $x_1, x_2, \dots, x_p \in \mathbb{N}$
T $\in \mathbb{N}$

Subset Sum

Key idea: numbers are bit-strings too!
- use many digits
- each digit encodes 1 constraint

Key idea: numbers are bit-strings too!
- use many digits - each digit encodes 1 constraint

2. Start making #'s:
- we use mtn digits base 4
- for the literal x_j :

$A_j = \underbrace{0 \ 1 \ 1}_{m \text{ slots for each clause}} \underbrace{0 \ 0 \ 1}_{n \text{ slots for each variable}}$
(models) x_j

1. Recast 3SAT as "subset" problem
 $X = \text{literals } \{x_1, \bar{x}_1, x_2, \bar{x}_2, \dots, x_n, \bar{x}_n\}$
clause $(x_1 \vee \bar{x}_2 \vee x_3) = \text{set } \{x_1, \bar{x}_2, x_3\} \subset X$
Goal: Find SCX st.
Ⓐ for each clause $C_i, C_i \cap S \neq \emptyset$
Ⓑ for each x_j, S contains 1 of $\{x_j, \bar{x}_j\}$ (not $\bar{x}_j$)

for each clause C_i , set C_i th digit to 1 if $x_j \in C_i$, else 0
set " x_j th digit" to 1, else 0

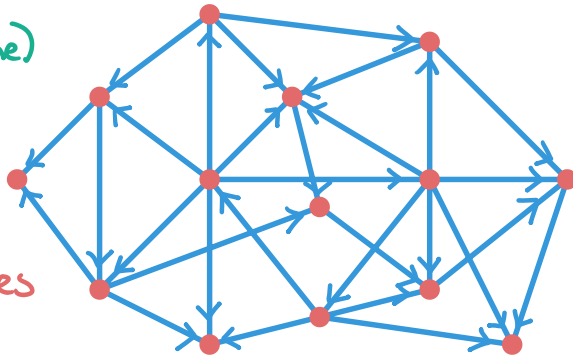
(vertices cannot repeat)

today: longest path problem

(eg. # edges)

find the (length of the) longest path in G

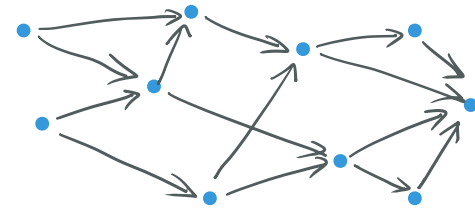
Hamiltonian path:
path visiting all vertices



does G have a Hamiltonian path?

related problems: longest walk, shortest paths

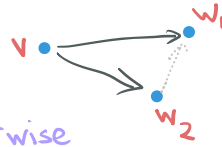
Longest walk in DAGs



Recursion

$LW(v)$ = length of Longest Walk from v

$$LW(v) = \begin{cases} 0 & \text{if } v \text{ is a sink} \\ 1 + \max_{(v,w) \in E} LW(w) & \text{otherwise} \end{cases}$$



Running time w/ caching

$$\sum_{v \in V} (\text{time for } LW(v)) = \sum_{v \in V} 1 + \deg^+(v) = O(m+n)$$

Three settings:

1. DAG

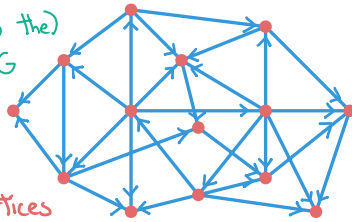
2. Directed

3. Undirected

today: longest path problem

find the (length of the) longest path in G

Hamiltonian path:
path visiting all vertices

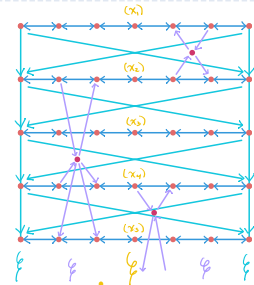


does G have a Hamiltonian path?

	Polytime Algo	Hard as SAT ^(CNF)	Abstain	Lions
DAG	90%	2		≥3
Directed	15%	85% - 1		
Undirected	0%	99%		

Theorem 7.1. A polynomial time algorithm for the Hamiltonian path problem implies a polynomial time algorithm for SAT.

$$\varphi(x_1, \dots, x_n) = (x_1 \vee \bar{x}_2) \wedge (x_2 \vee x_3 \vee \bar{x}_4) \wedge \dots$$

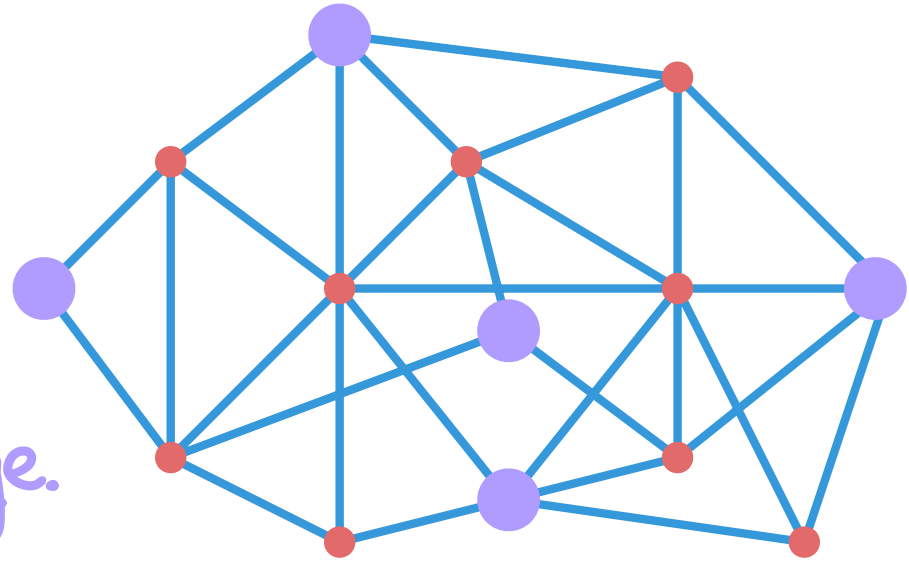


Independent set

Input: undirected $G=(V,E)$
(optionally) weights $w: V \rightarrow \mathbb{R}_{\geq 0}$

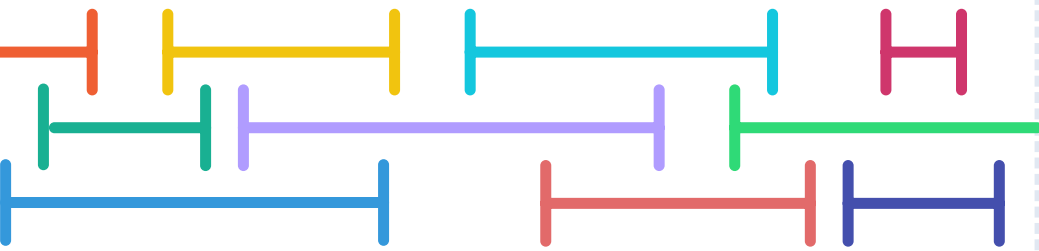
$S \subseteq V$ is independent if no
 $u,v \in S$ are connected by an edge.

Goal: max weight independent set
(decision version: is there an independent set of weight $\geq k$?)



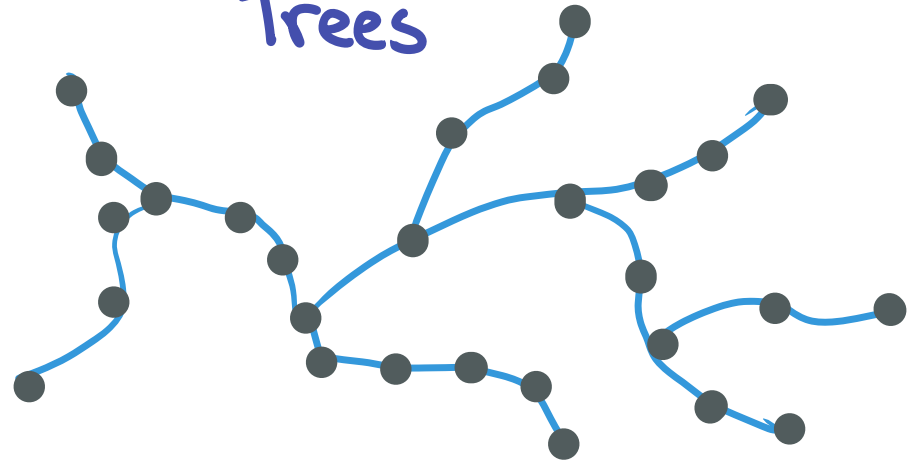
Special cases:

intervals



"independent" = non-overlapping

trees



Independent set

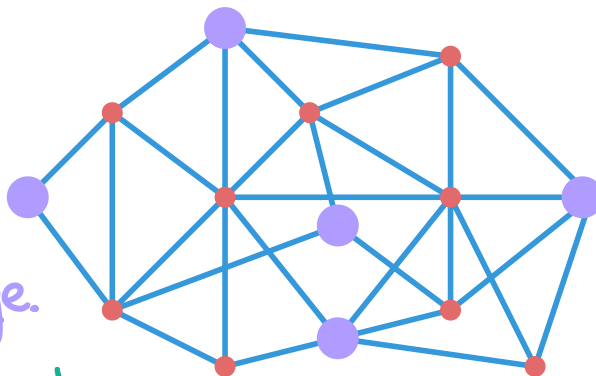
Input: undirected $G=(V,E)$

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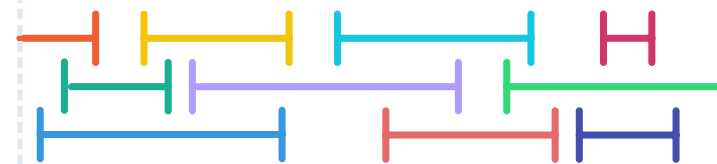
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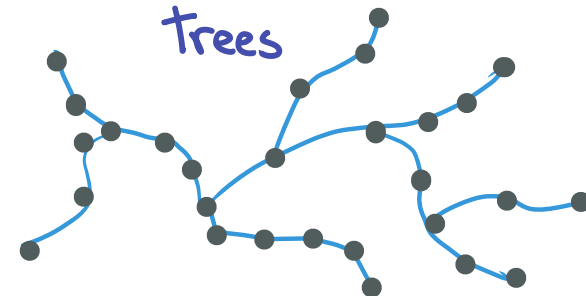
Special cases:

intervals



"independent" = non-overlapping

trees



Hard as SAT Polytime algo

Abstain

Independent set in { graphs, intervals, trees }

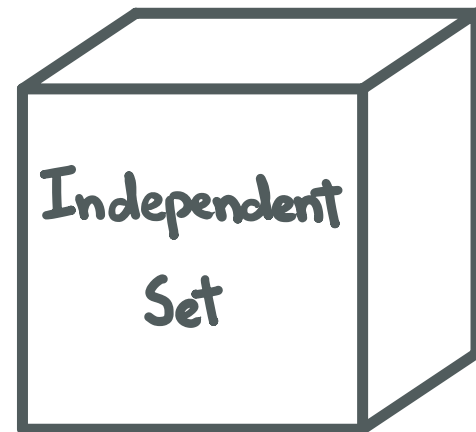
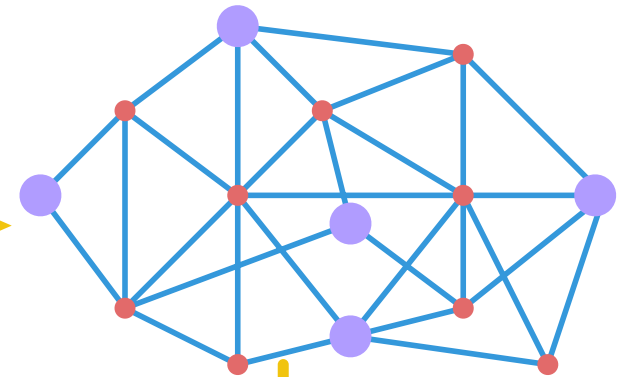
Theorem: A polytime algo for max
(unweighted)
cardinality independent set implies a
polytime algo for SAT

Proof setup

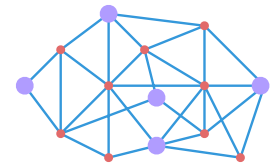
3-SAT instance

$$\{ (x_1, \neg, x_n) = (x_1 \vee x_2 \vee x_3) \wedge \dots$$

there is/isn't an
independent set
of size k



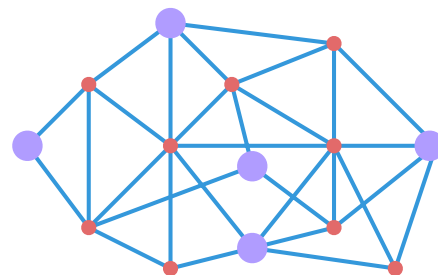
Independent set
Input: undirected $G=(V,E)$
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$S \subseteq V$ is independent if no
 $u,v \in S$ are connected by an edge.
Goal: max weight independent set
(decision version: is there an independent set of weight $\geq k$?)

Goal: transform

3-SAT instance
 $\mathcal{F}(x_1, \neg, x_n) = (x_1 \vee x_2 \vee x_3) \wedge \dots$



st. size of max indep. set informs satisfiability of $\mathcal{F}$

e.g.

$\left(\begin{array}{c} \mathcal{F} \text{ is} \\ \text{satisfiable} \end{array} \right) \Leftrightarrow \left(\begin{array}{c} \text{there is indep.} \\ \text{set of size } k \end{array} \right)$

(for some k TBD)

ideas?

clause gadgets

SAT

Graphs

literals
(in clauses)



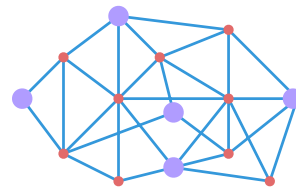
vertices

clauses



triangles

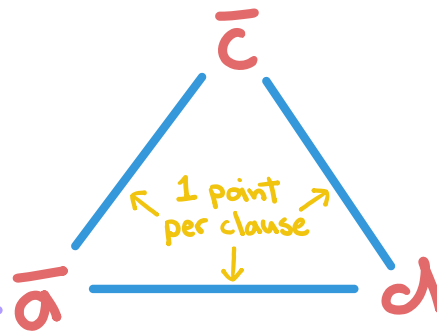
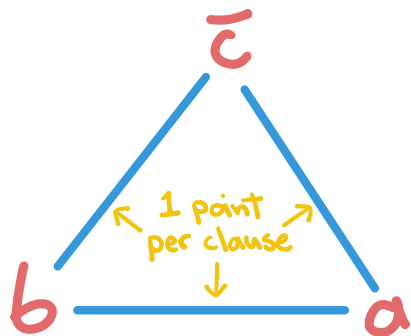
3-SAT instance
 $\mathcal{S}(x_1, x_2, x_3) = (x_1 \vee x_2 \vee x_3) \wedge \dots$



$\left(\mathcal{S} \text{ is satisfiable} \right) \Leftrightarrow \left(\text{there is indep. set of size } k \right)$
(for some k TBD)

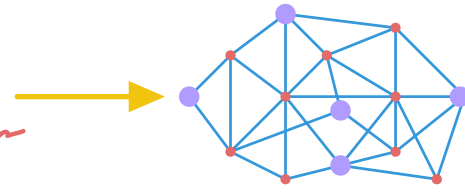
$a \vee b \vee \bar{c}$

$\bar{a} \vee \bar{c} \vee d$



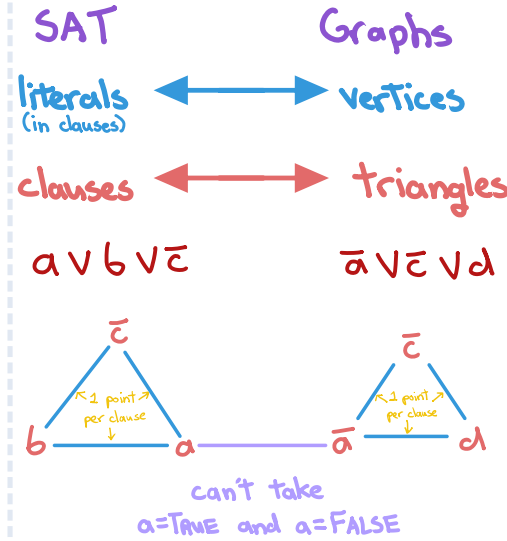
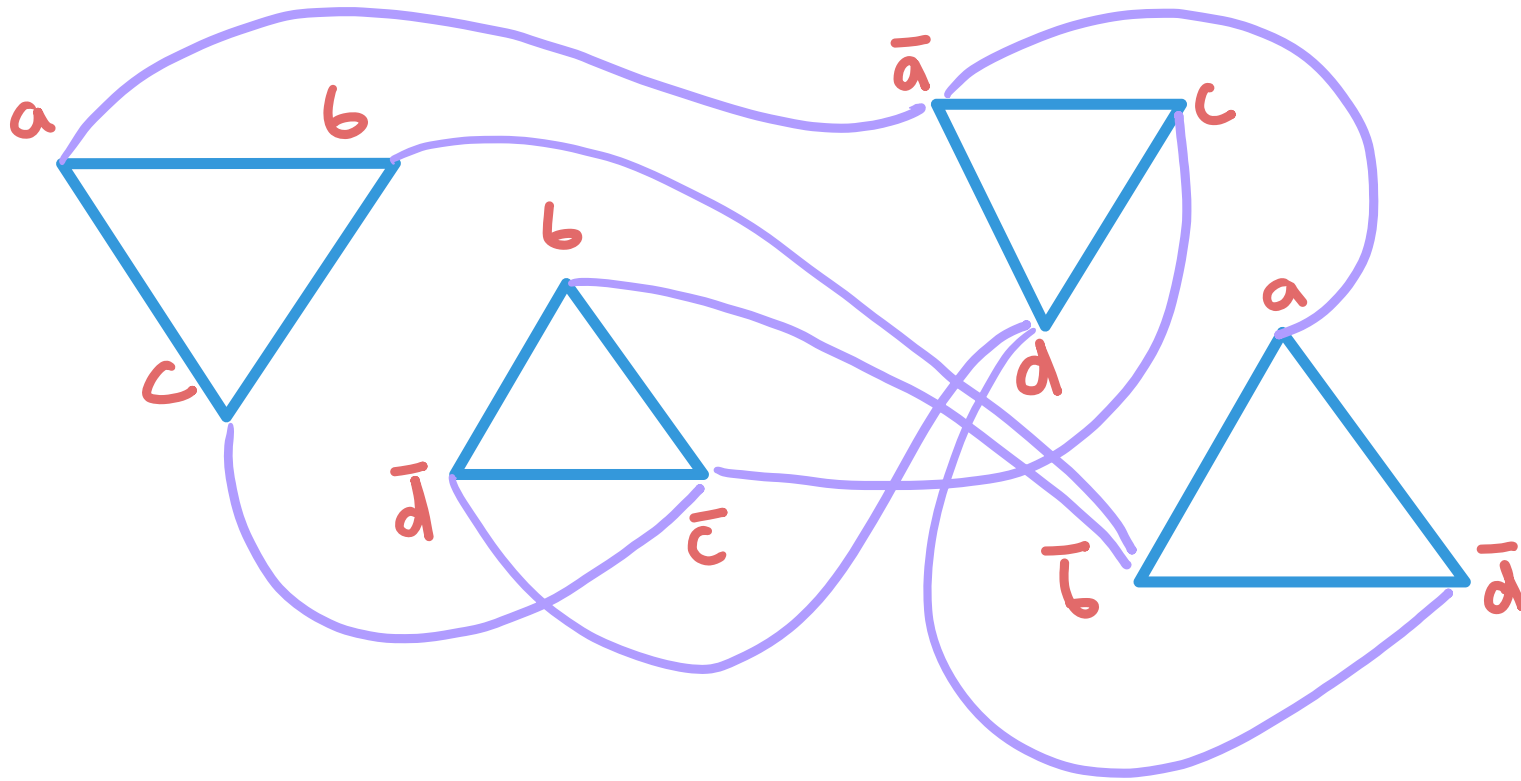
can't take
 $a = \text{TRUE}$ and $a = \text{FALSE}$

3-SAT instance
 $\mathcal{F}(x_1, x_2, x_3) = (x_1 \vee x_2 \vee x_3) \wedge \dots$



$(\mathcal{F} \text{ is satisfiable}) \iff (\text{there is indep. set of size } k)$
 (for some k TBD)

$$(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{a}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{d})$$

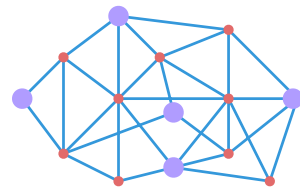


$m \text{ clauses} \rightarrow m \text{ triangles}$

claim: $\mathcal{F}$ is satisfiable iff there
 is an independent set of size m
 (where m is the # of clauses)

Proof. ($\Rightarrow$) Suppose $\mathcal{F}$ is satisfiable

3-SAT instance
 $\mathcal{F}(x_1, x_2, x_3) = (x_1 \vee x_2 \vee x_3) \wedge \dots$



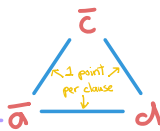
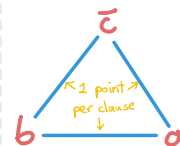
$(\mathcal{F} \text{ is satisfiable}) \Leftrightarrow (\text{there is indep. set of size } k)$
 (for some k TBD)

SAT $\longleftrightarrow$ Graphs
 literals (in clauses) $\longleftrightarrow$ vertices

clauses $\longleftrightarrow$ triangles

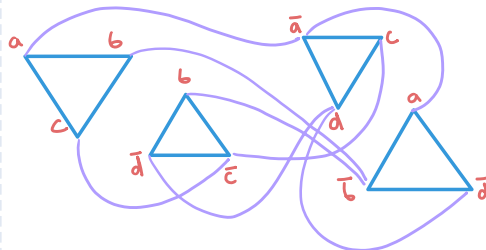
$a \vee b \vee \bar{c}$

$\bar{a} \vee \bar{c} \vee d$



can't take
 $a = \text{TRUE}$ and $a = \text{FALSE}$

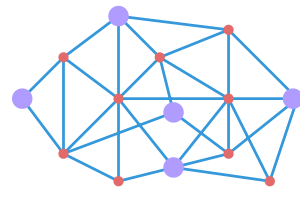
$(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{a}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{a})$



m clause $\rightarrow m$ triangles

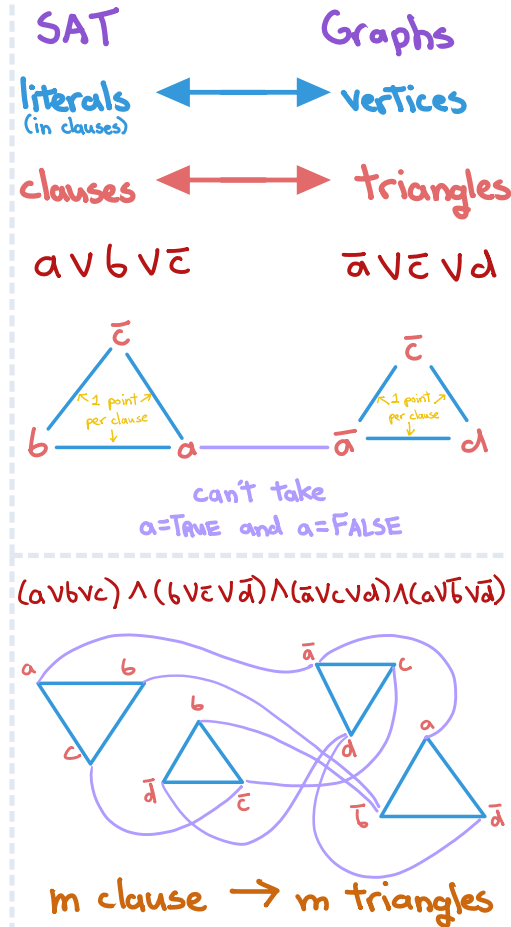
claim: $\mathcal{F}$ is satisfiable iff there is an independent set of size m
 (where m is the # of clauses)

3-SAT instance
 $\mathcal{F}(x_1, x_2, x_3) = (x_1 \vee x_2 \vee x_3) \wedge \dots$



$(\mathcal{F} \text{ is satisfiable}) \Leftrightarrow (\text{there is indep. set of size } k)$
 (for some k TBD)

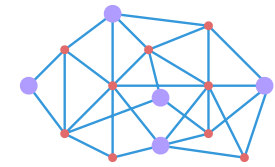
Proof. ($\Leftarrow$) Suppose there is an independent set of size k



Theorem: A polytime algo for max
(unweighted)
cardinality independent set implies a
polytime algo for SAT

Proof setup

Independent set
Input: undirected $G=(V,E)$
(optionally) weights $w: V \rightarrow \mathbb{R}_{\geq 0}$



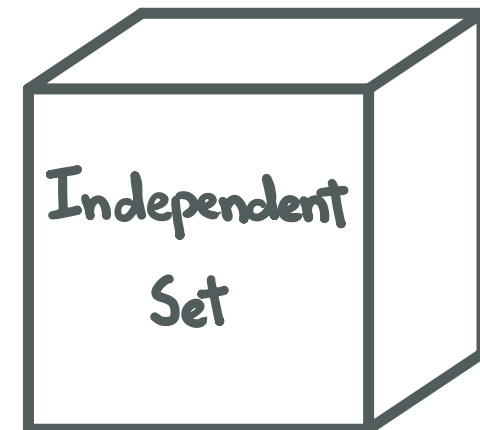
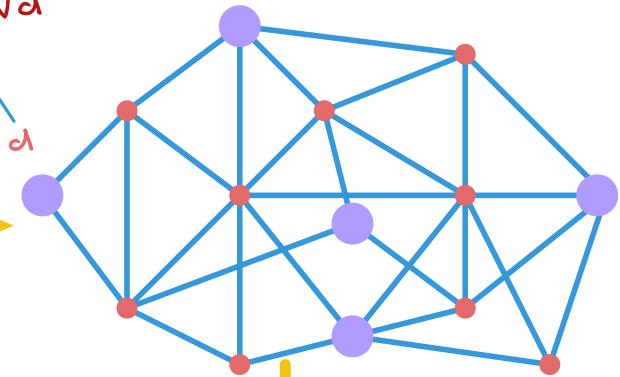
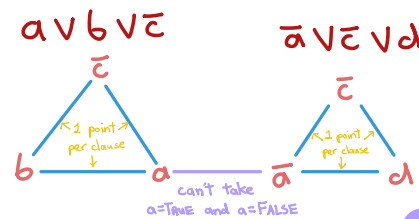
$S \subseteq V$ is independent if no
 $u,v \in S$ are connected by an edge.
Goal: max weight independent set
(decision version: is there an independent set of weight $\geq k$?)

3-SAT instance

$$\mathcal{F}(x_1, \dots, x_n) = (x_1 \vee x_2 \vee x_3) \wedge \dots$$

claim: $\mathcal{F}$ is satisfiable iff there
is an independent set of size m
(where m is the # of clauses)

there is/isn't an
independent set
of size m

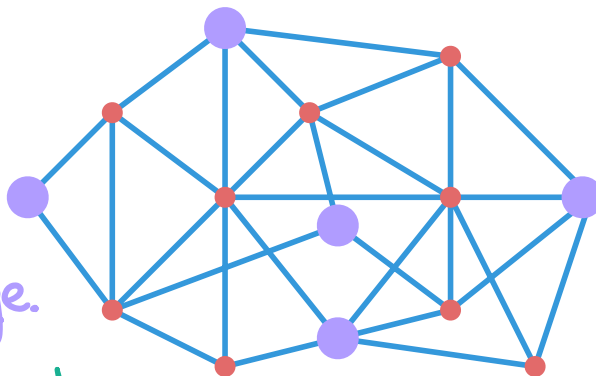


Independent set

Input: undirected $G=(V,E)$
(optionally) weights $w: V \rightarrow \mathbb{R}_{\geq 0}$

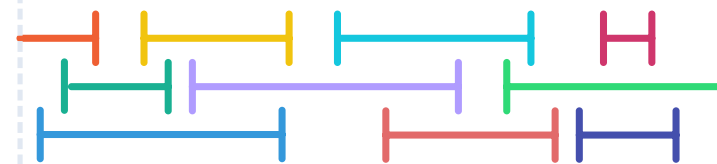
$S \subseteq V$ is independent if no $u,v \in S$ are connected by an edge.

Goal: max weight independent set
(decision version: is there an independent set of weight $\geq k$?)



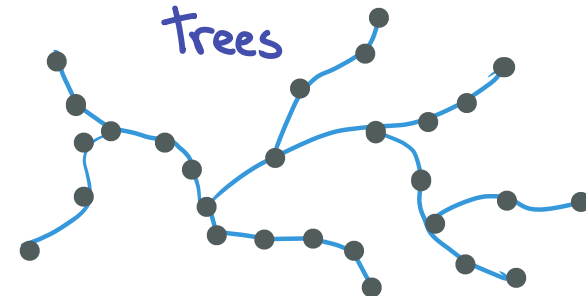
Special cases:

intervals



"independent" = non-overlapping

trees



Independent set in { graphs, intervals, trees }

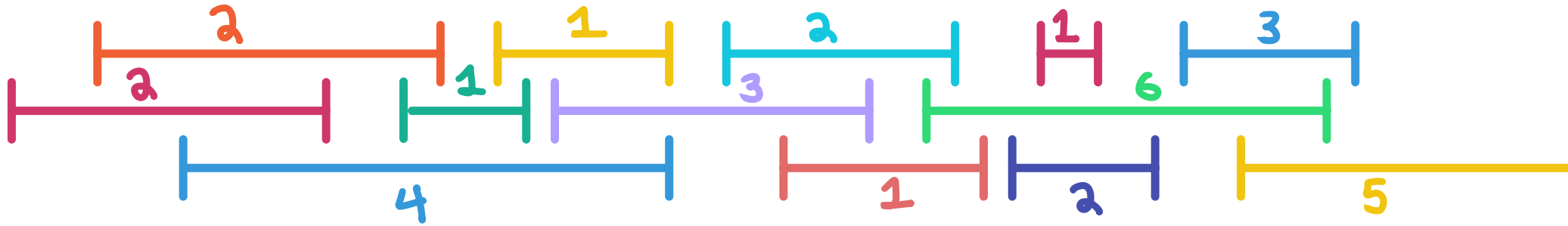
Hard as SAT Polytime algo

	graphs		
	intervals		
	trees		

Abstain

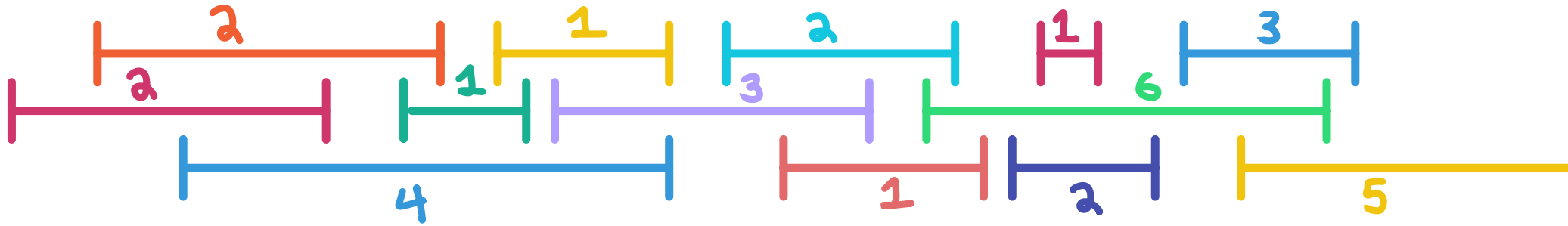
Maximum weight independent set of intervals

("independent" = non-overlapping)



ideas?

Maximum weight independent set of intervals
("independent" = non-overlapping)

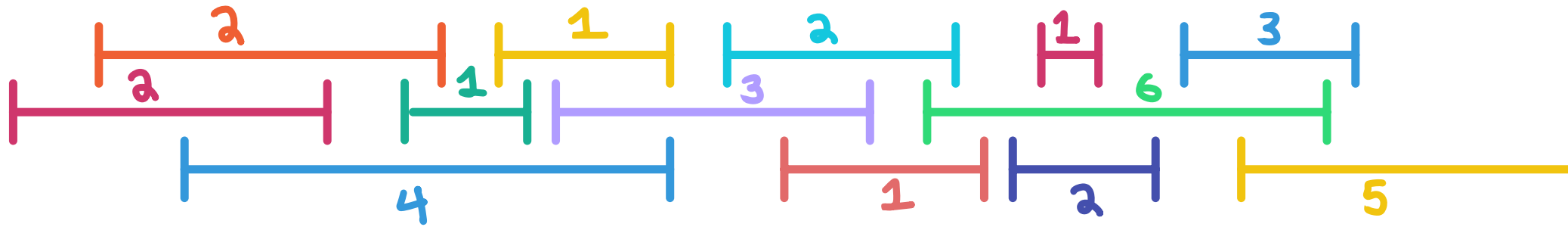


Recursive spec: for a family of weighted intervals $\mathcal{I}$,
 $MWIS(\mathcal{I}) = \max \text{ weight of any independent subset of } \mathcal{I}$

$\mathcal{I}' = \text{intervals disjoint from } \mathcal{I}$

Maximum weight independent set of intervals

("independent" = non-overlapping)



Recursive spec: for a family of weighted intervals I ,
 $MWIS(I) = \max$ weight of any independent subset of I

Implementation:

$MWIS(I)$

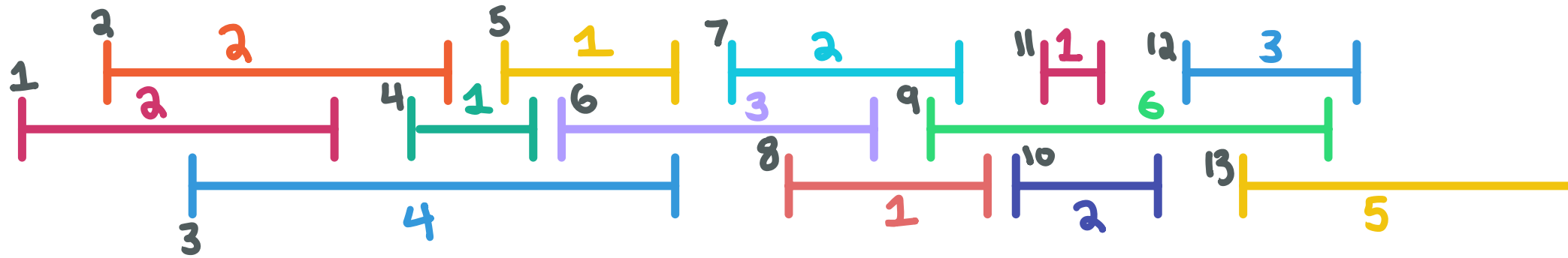
1. if $I = \emptyset$ return 0.
2. let $I \in I$ be any interval.
3. return max of
 - a. $MWIS(I \setminus \{I\})$
 - b. $\text{weight}(I) + MWIS(I')$ w/ $I' = \text{intervals disjoint from } I$

Running time

$$T(n) = 2T(n-1) + O(1) = 2^n$$

how to make this faster?

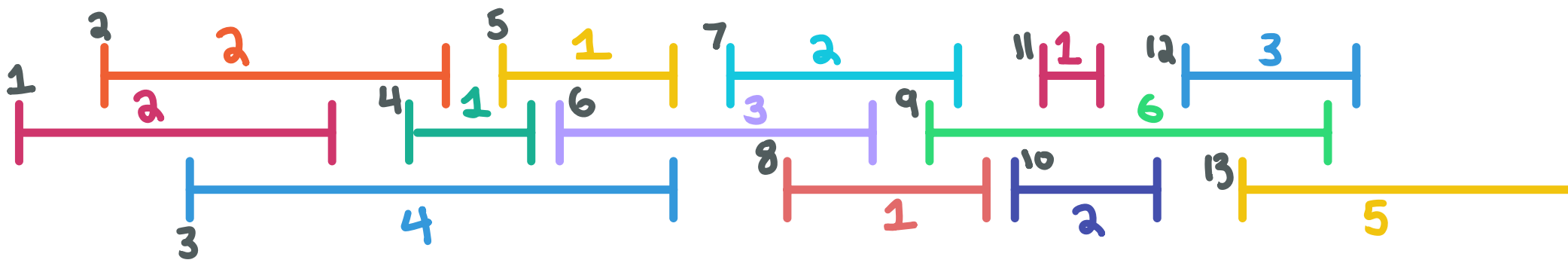
Idea: make problem "sequential" by sorting intervals



let $I_1=[a_1, b_1]$, $I_2=[a_2, b_2]$, ..., $I_n=[a_n, b_n]$ number the intervals in increasing order of left endpoint a_i

Recursive spec:

$MWIS(k) = \max \text{ weight of any indep. subset of } I_k, \dots, I_n$



let $I_1=[a_1, b_1]$, $I_2=[a_2, b_2]$, ..., $I_n=[a_n, b_n]$ number the intervals in increasing order of left endpoint a_i

Recursive spec:

$MWIS(k) = \max$ weight of any indep. subset of $I_k, \dots, I_n$

Implementation:

$MWIS(k)$

0 if $k > n$

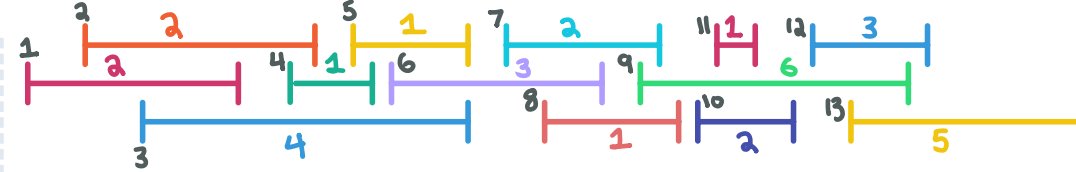
let $l > k$ be index of first interval disjoint from I_k

$\max \begin{cases} MWIS(k+1) \\ \text{weight}(I_k) + MWIS(l) \end{cases}$ otherwise

Recursive spec:

$MWIS(k) = \text{max weight}$

of any indep. subset of $I_k, \dots, I_n$



let $I_1=[a_1, b_1]$, $I_2=[a_2, b_2]$, $\dots$, $I_n=[a_n, b_n]$ number the intervals in increasing order of left endpoint a_i

Implementation:

$MWIS(k)$

0 if $k > n$

let $l > k$ be index of first interval disjoint from I_k

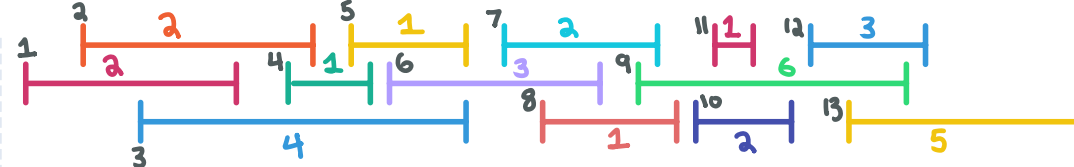
$\max \begin{cases} MWIS(k+1) \\ \text{weight}(I_k) + MWIS(l) \end{cases}$ otherwise

Running time (w/ caching)

Recursive spec:

$MWIS(k) = \text{max weight}$

of any indep. subset of $I_k, \dots, I_n$



let $I_1=[a_1, b_1]$, $I_2=[a_2, b_2]$, ..., $I_n=[a_n, b_n]$ number the intervals in increasing order of left endpoint a_i

Implementation:

$MWIS(k)$

0 if $k > n$

↙ binary search!

let $l > k$ be index of first interval disjoint from I_k

$\max \begin{cases} MWIS(k+1) \\ \text{weight}(I_k) + MWIS(l) \end{cases}$ otherwise

Running time (w/ caching)

$O(n \log n)$ to sort

$O(n)$ subproblems $\times O(\log n)$ time per problem

Independent set

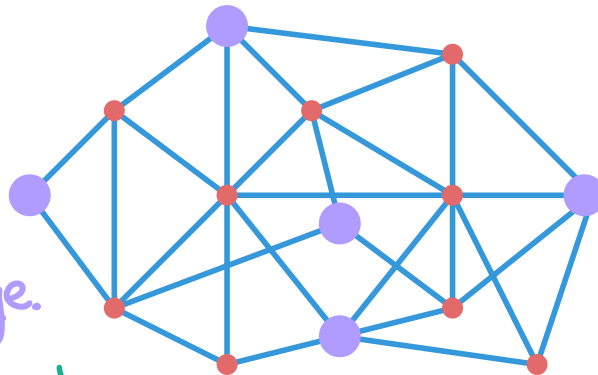
Input: undirected $G=(V,E)$

(optionally) weights $w: V \rightarrow \mathbb{R}_{\geq 0}$

$S \subseteq V$ is independent if no $u,v \in S$ are connected by an edge.

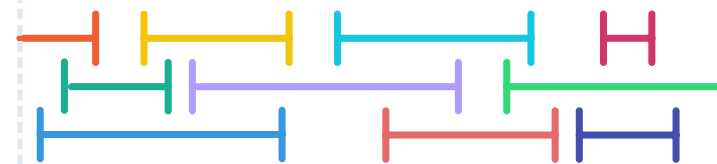
Goal: max weight independent set

(decision version: is there an independent set of weight $\geq k$?)



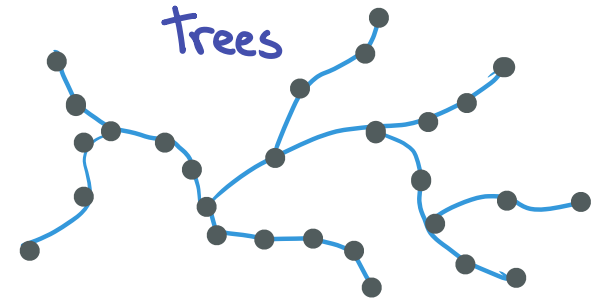
Special cases:

intervals



"independent" = non-overlapping

trees



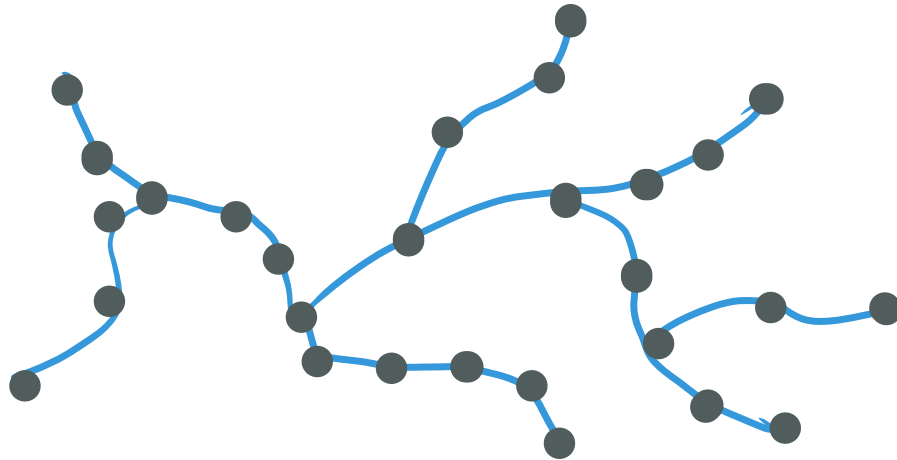
Independent set in { graphs, intervals, trees }

Hard as SAT Polytime algo

✓	
	✓

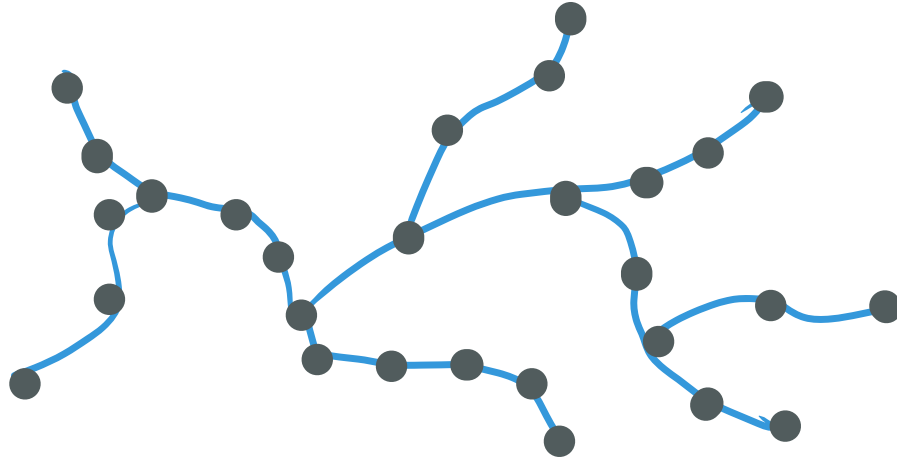
Abstain

MWIS in trees



ideas?

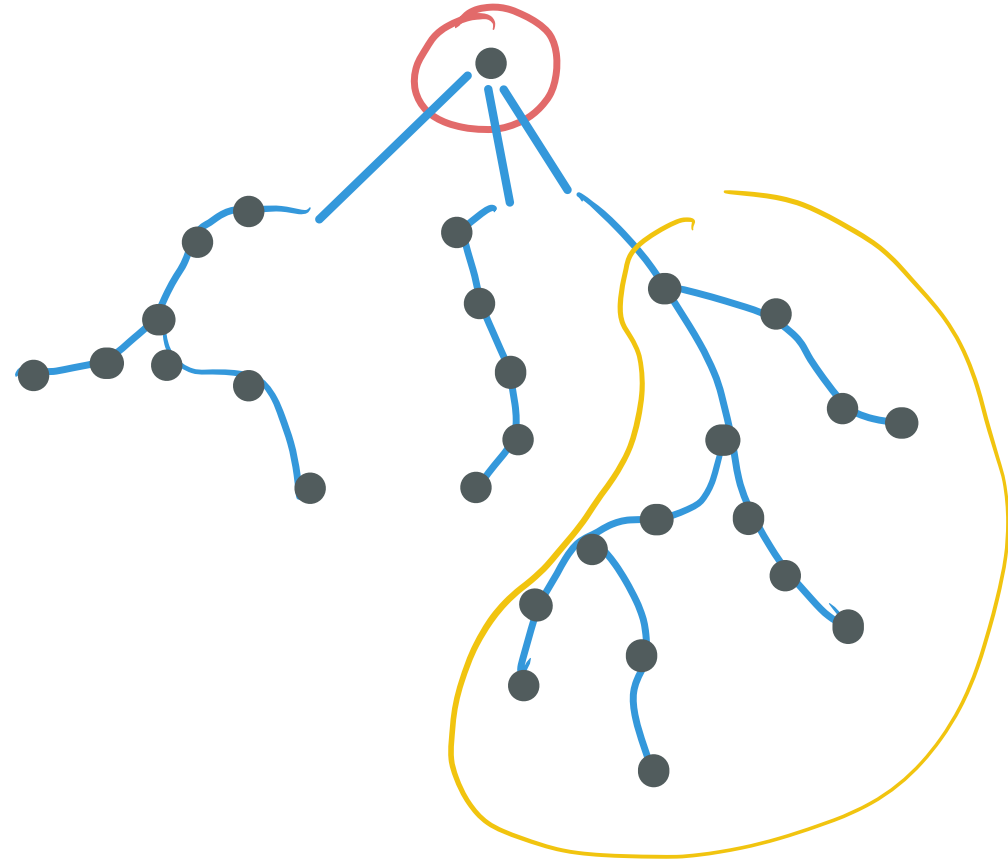
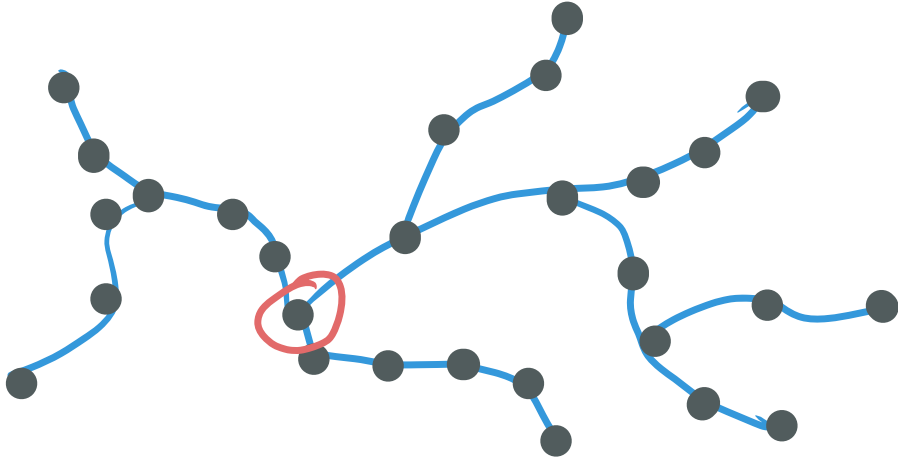
MWIS in trees



ideas?

how to order subproblems?

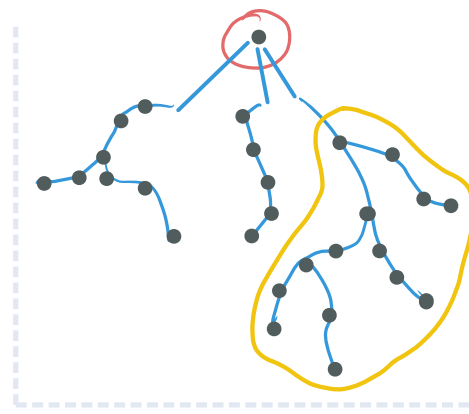
Root it!



Subtree
(= subproblem)

Recursive Spec:

$MWIS(v)$ = MWIS of the subtree rooted at v

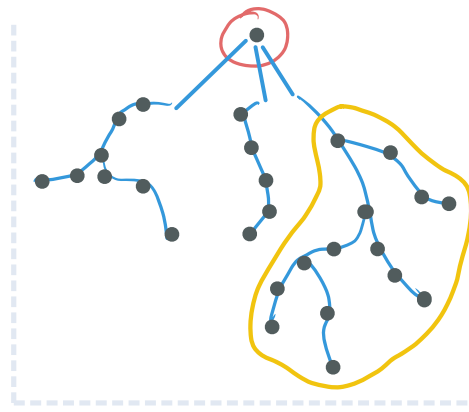


Recursive implementation

Recursive Spec:

$MWIS(v, \text{exclude-root}^{(\text{flag})}) =$

MWIS of the subtree rooted at v , excluding v if exclude-root is true.



Recursive implementation

$MWIS(v, \text{exclude-root}) =$

if exclude-root then return sum of $MWIS(x, \text{false})$ over all children x of v

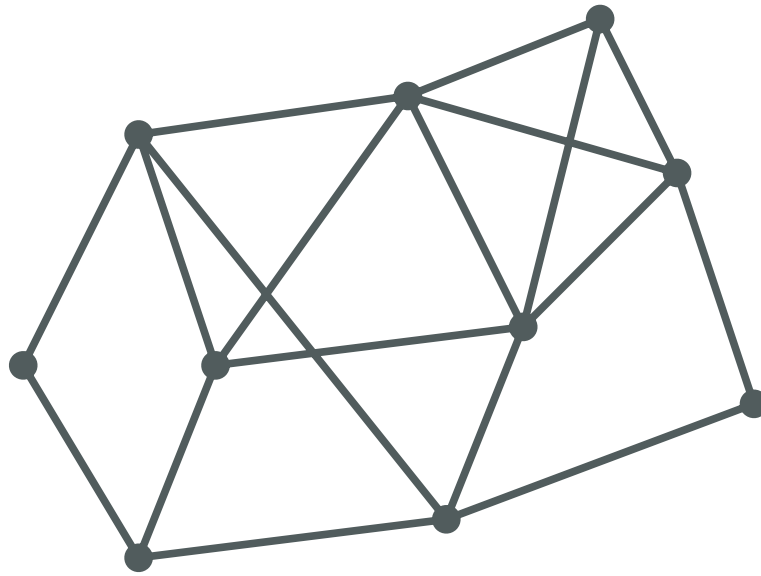
else return max of $MWIS(v, \text{true})$

and $\text{weight}(v) + \sum_{\text{children } x} MWIS(x, \text{true})$

Running time

$$\begin{aligned} & \sum_v \left(\text{time for } MWIS(v, T/F) \right) \\ &= \sum_v O(\# \text{ children of } v) \\ &= O(n) \end{aligned}$$

Graph Coloring



Goal: color vertices so that no adjacent vertices have the same color, w/ minimum # of colors.

decision version: does k colors suffice?

In "k-coloring problem", k is a fixed constant

Theorem: 3-coloring is NP-hard.

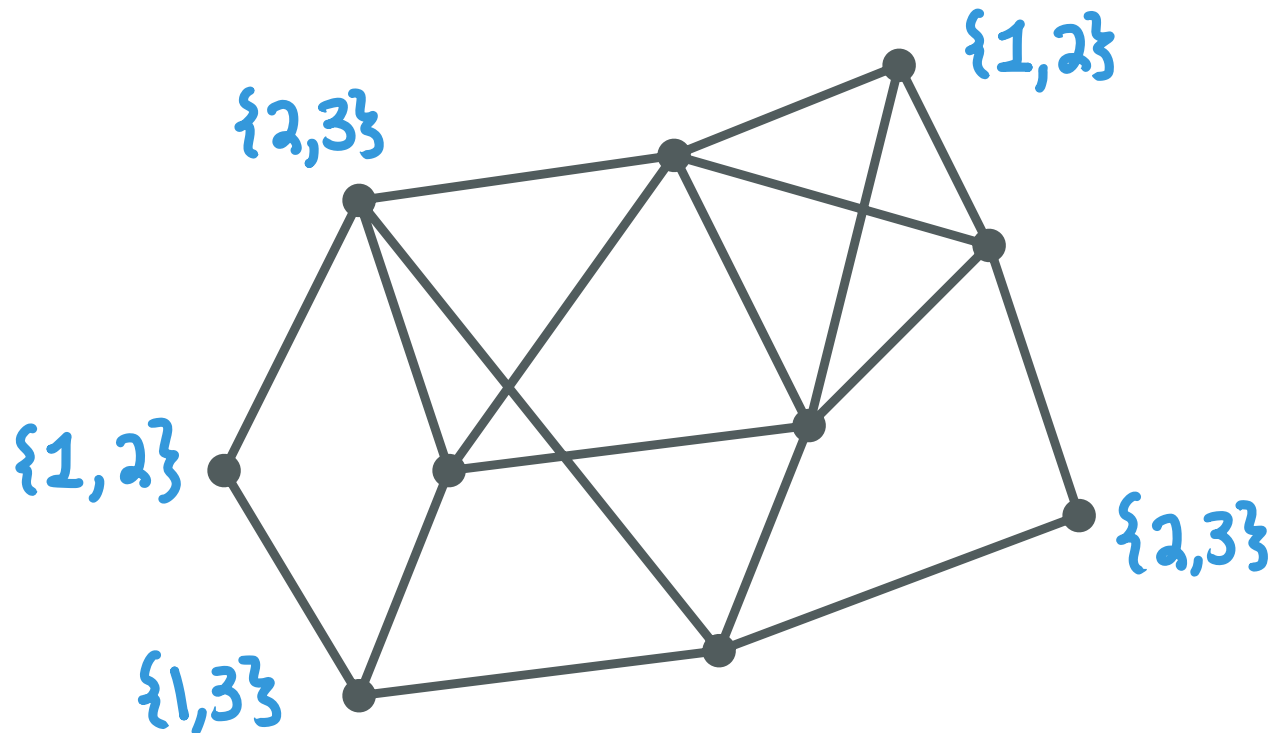
Theorem: 3-coloring is NP-hard.

High-level approach:

3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .

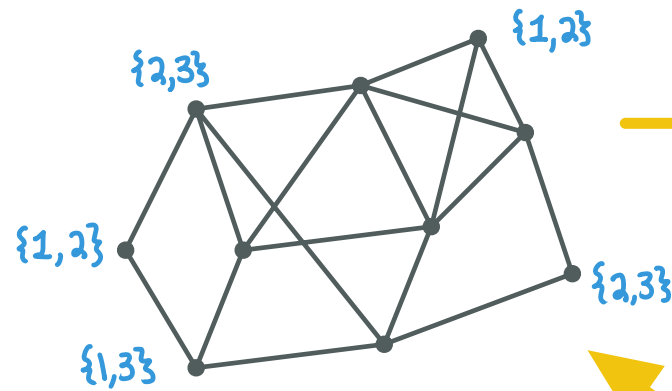
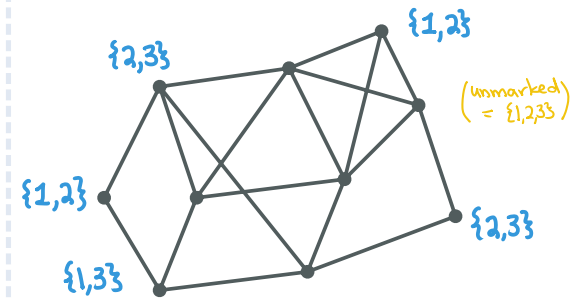


(unmarked)
= $\{1, 2, 3\}$

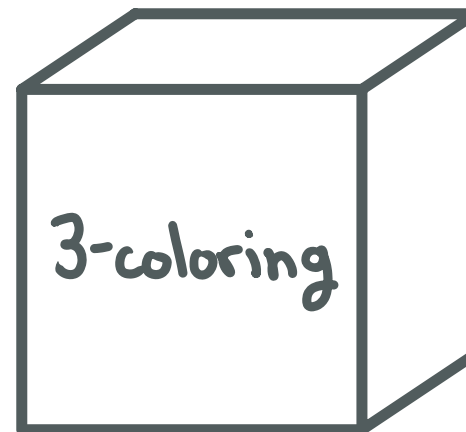
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
of colors allowed for v .



Yes/no



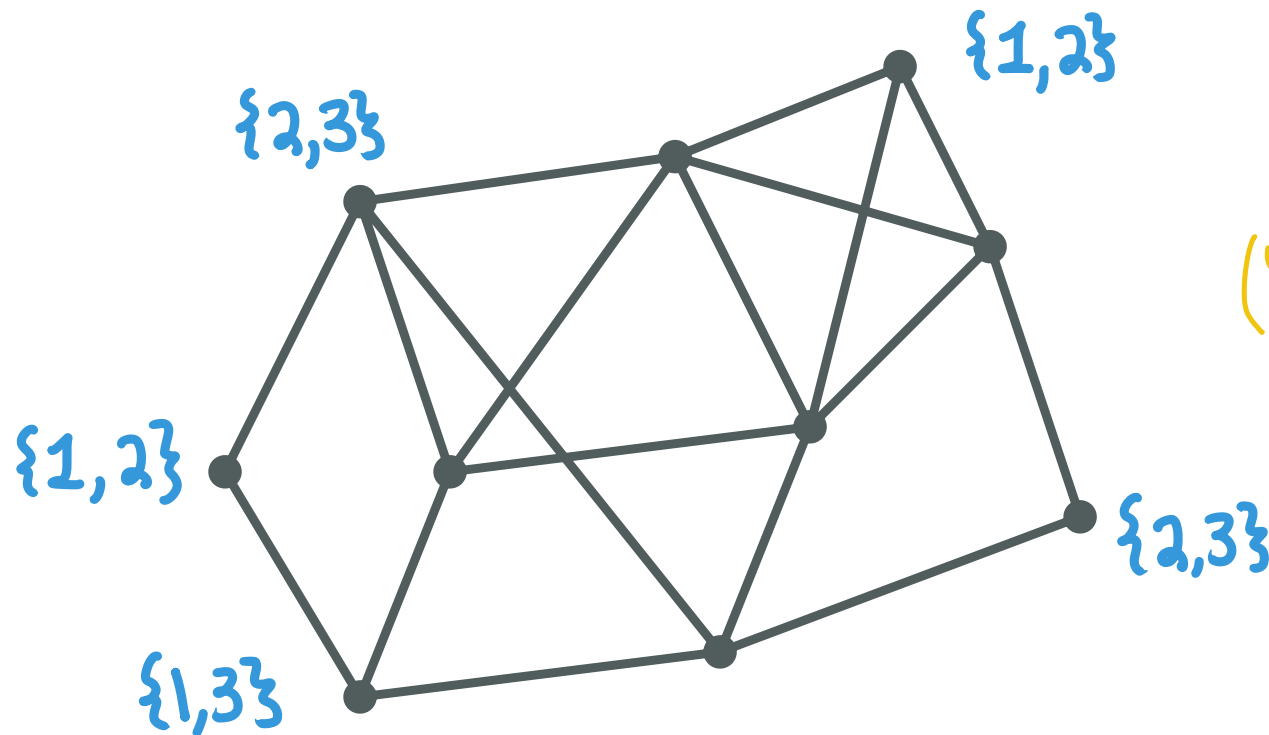
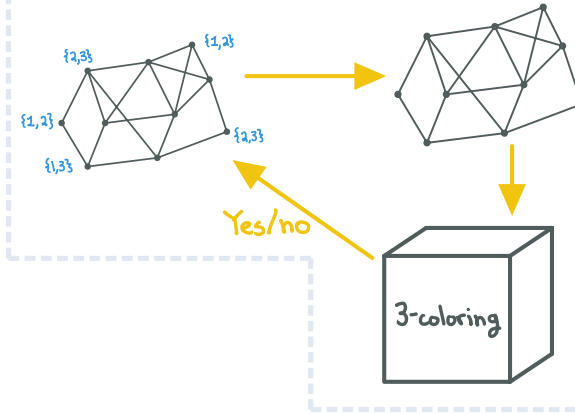
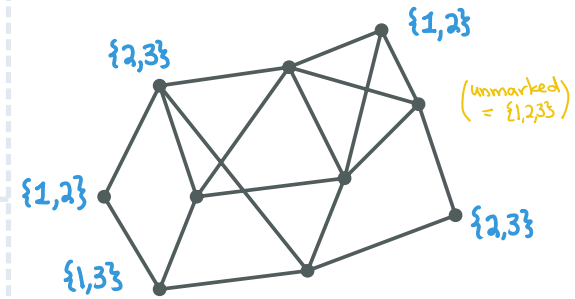
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

claim: polytime algo for 3-coloring implies
polytime algo for subset 3-coloring.

ideas?

Subset 3-coloring

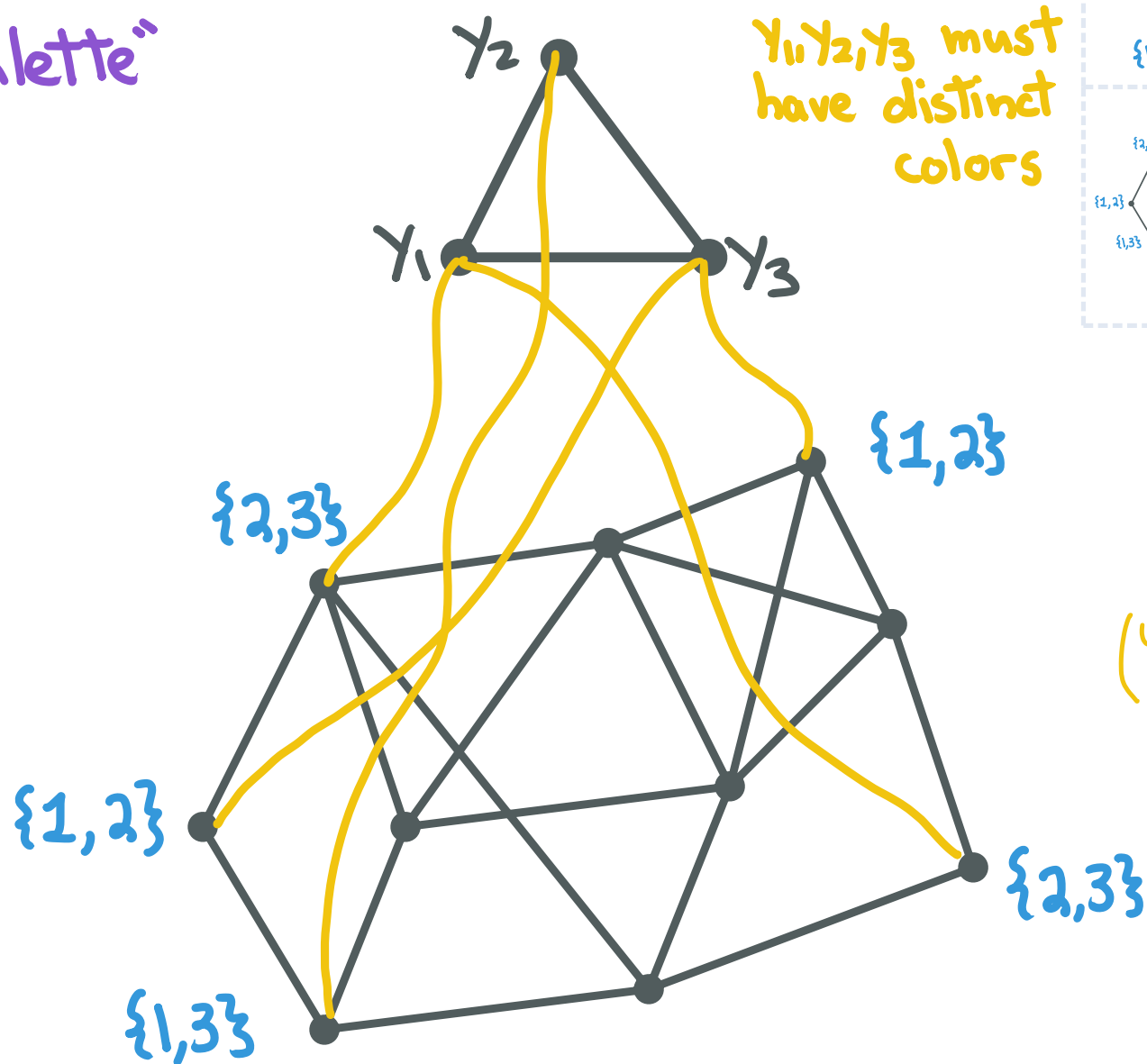
for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
of colors allowed for v .



3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

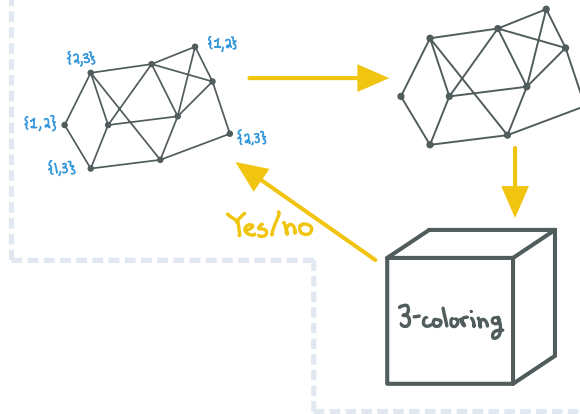
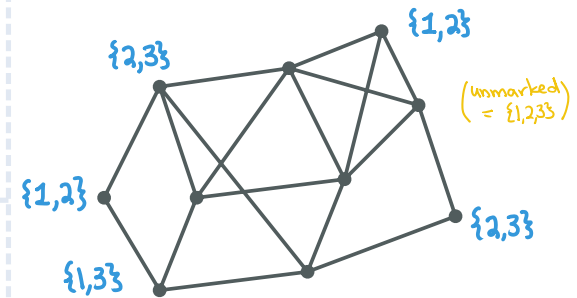
claim: polytime algo for 3-coloring implies
polytime algo for subset 3-coloring.

"color palette"



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1,2,3\}$
of colors allowed for v .



(unmarked)
= {1,2,3}

3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

claim: polytime algo for 3-coloring implies
polytime algo for subset 3-coloring.

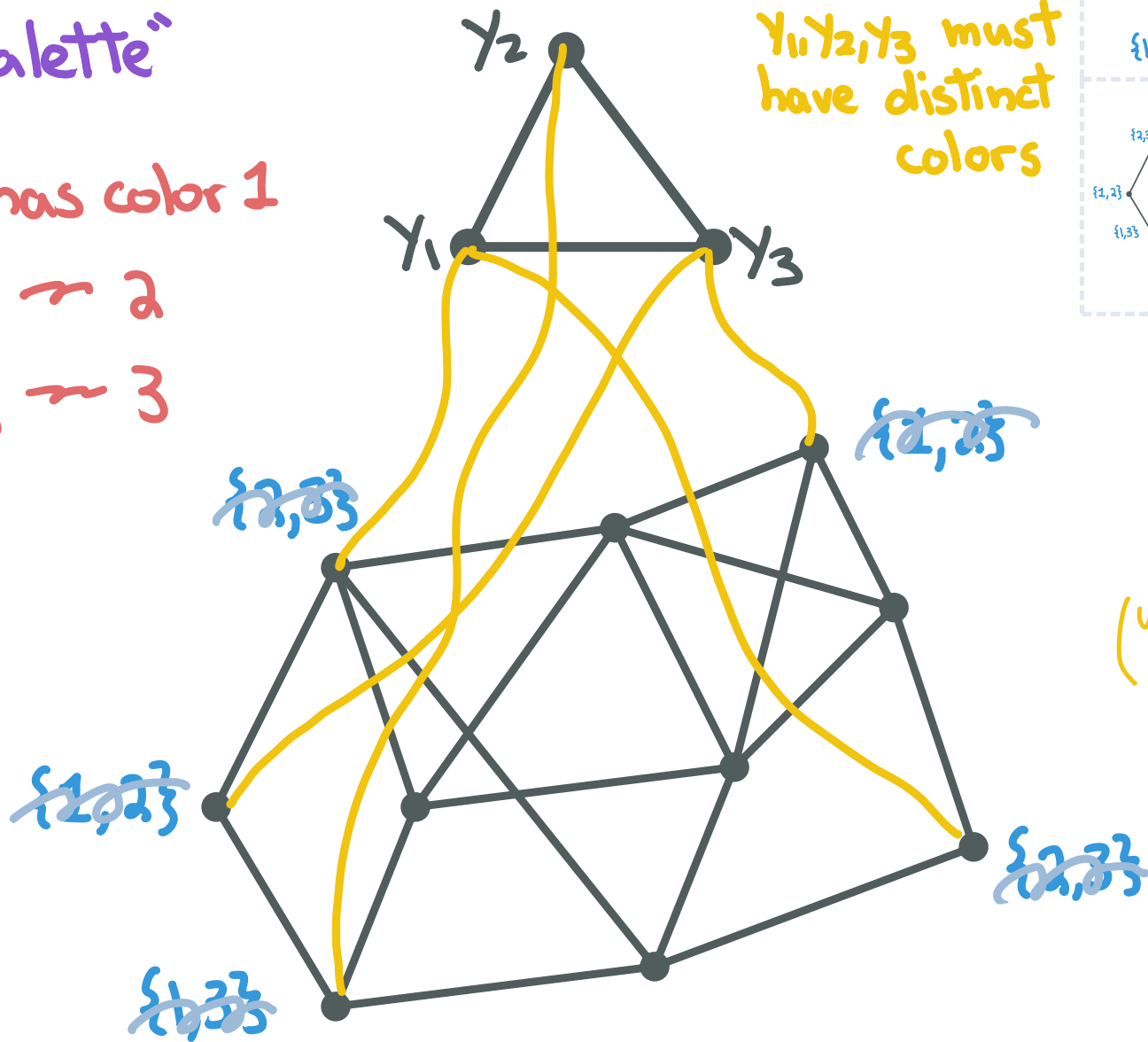
"color palette"

wlog γ_1 has color 1

$\gamma_2 \rightsquigarrow 2$

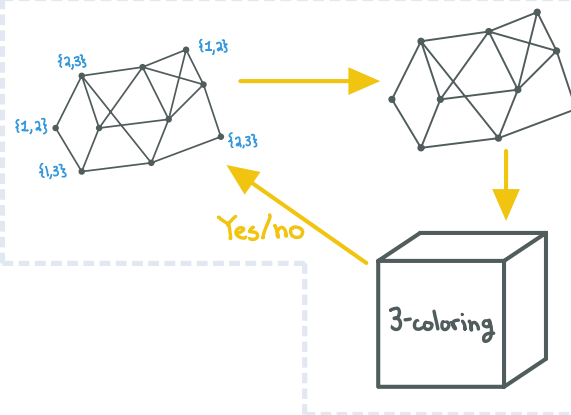
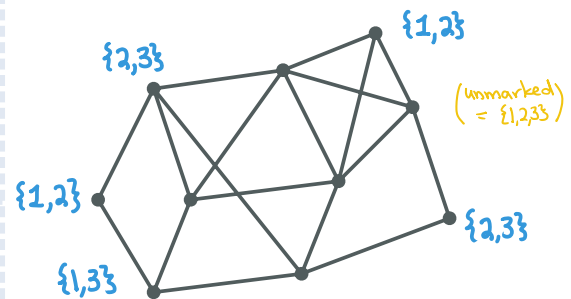
$\gamma_3 \rightsquigarrow 3$

$\gamma_1, \gamma_2, \gamma_3$ must
have distinct
colors



Subset 3-coloring

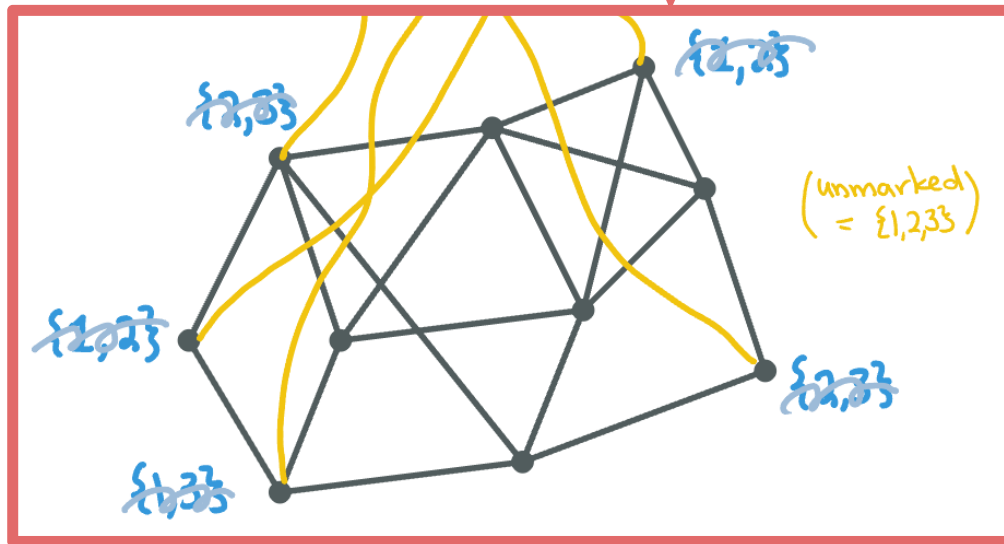
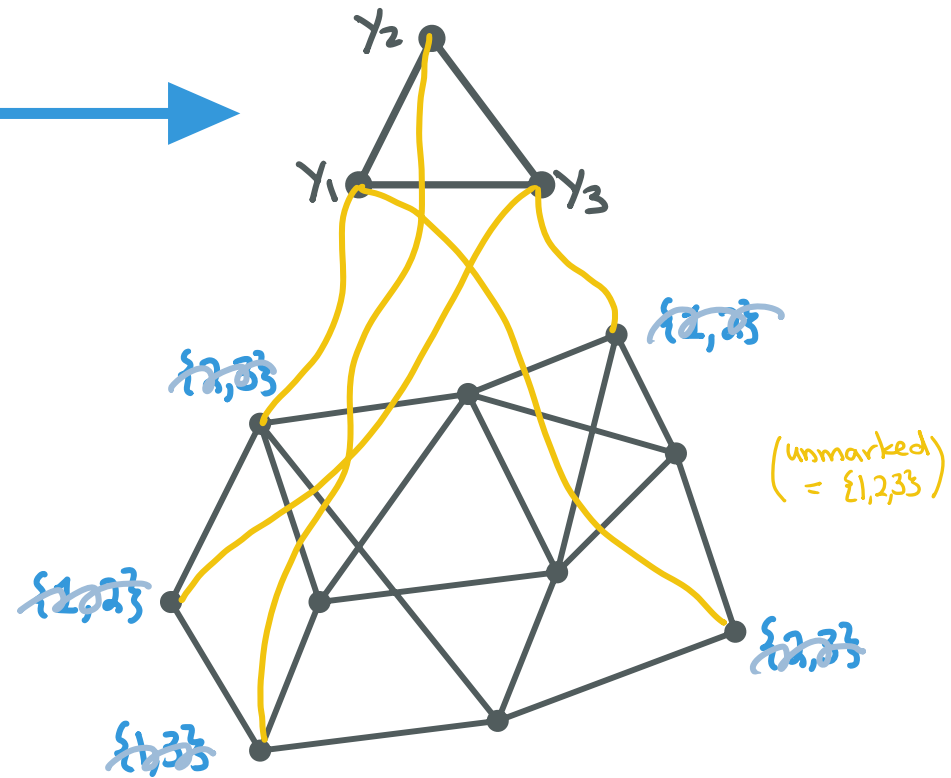
for each vertex v , subset $S_v \subseteq \{1,2,3\}$
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(unmarked)
= $\{1,2,3\}$

3-coloring on

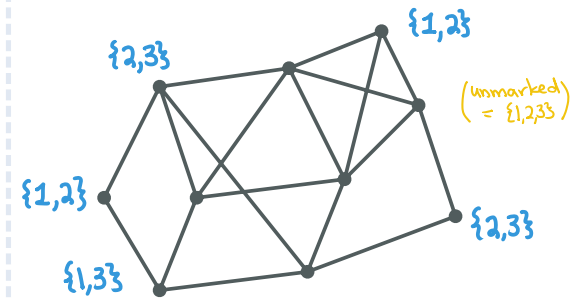
=> subset 3-coloring on



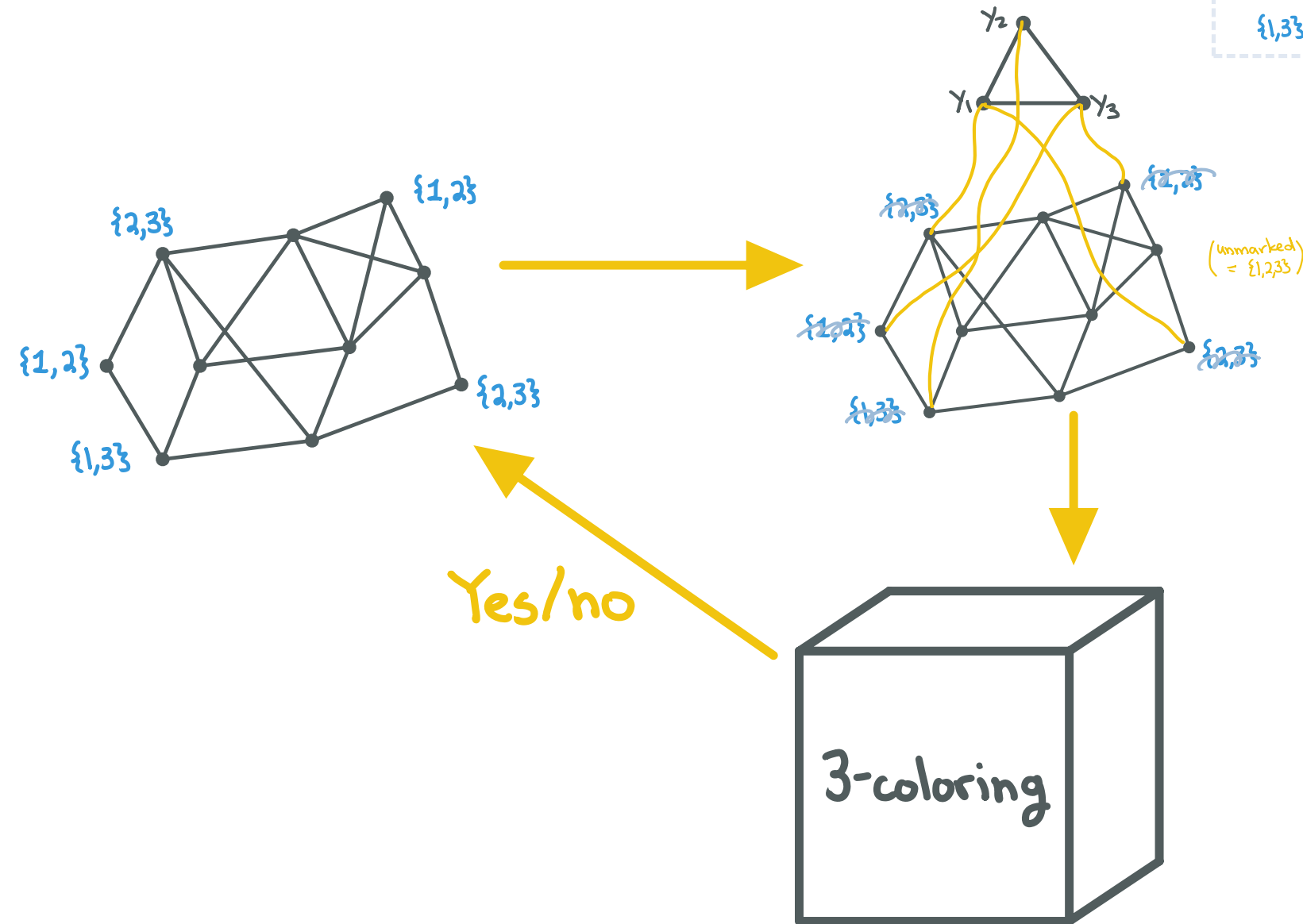
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



claim: polytime algo for 3-coloring implies polytime algo for subset 3-coloring.

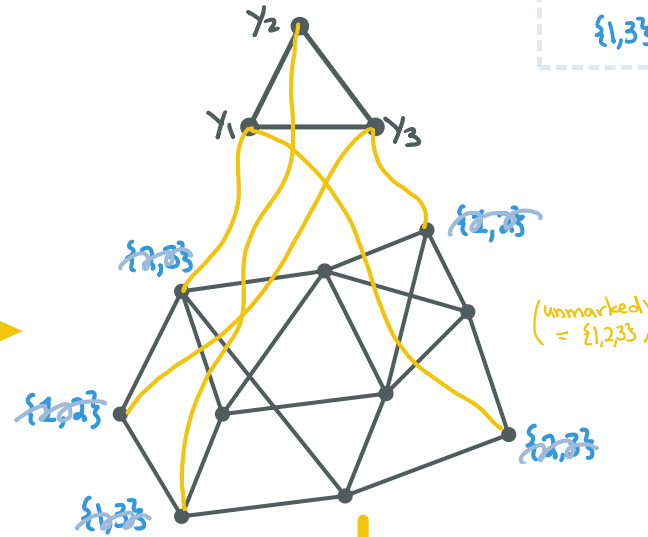
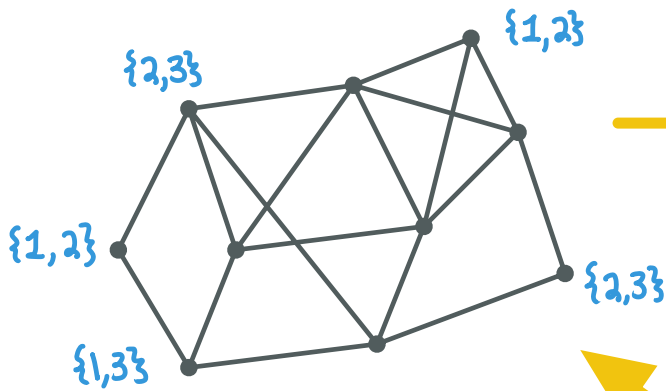
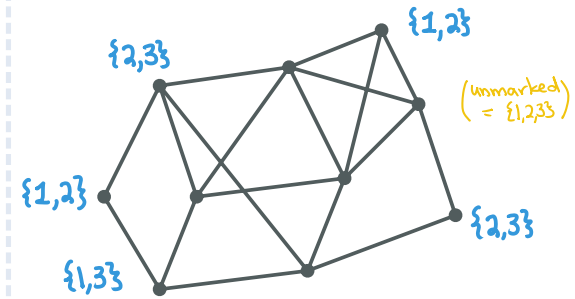


3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

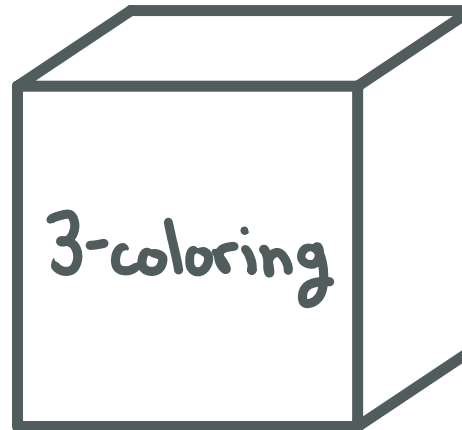
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polytime algo for subset 3-coloring.

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
of colors allowed for v .



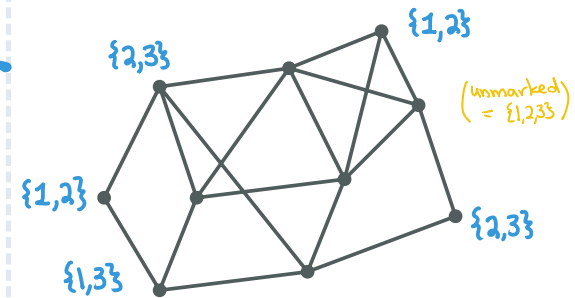
Yes/no



3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

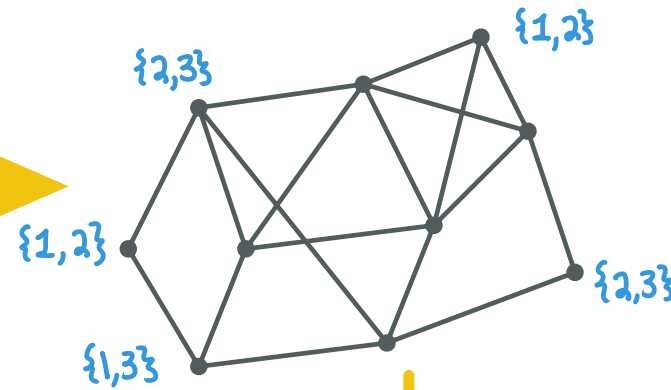
Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
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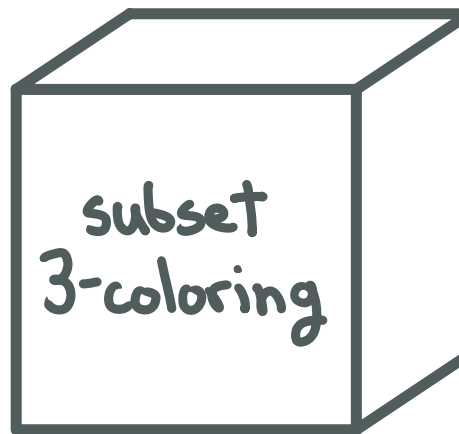


3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



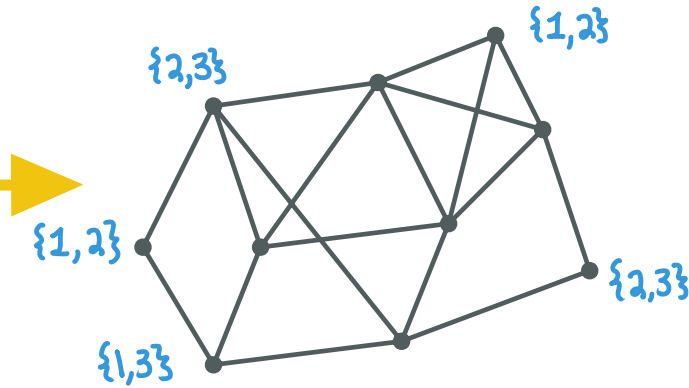
Yes/no



3-SAT

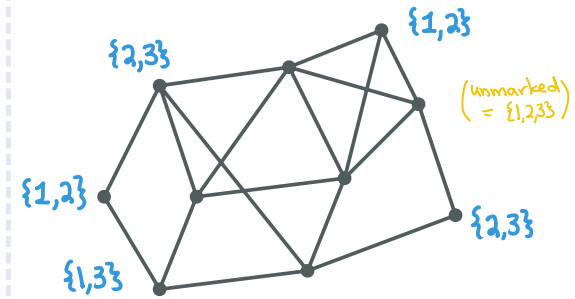
$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$

ideas?



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .

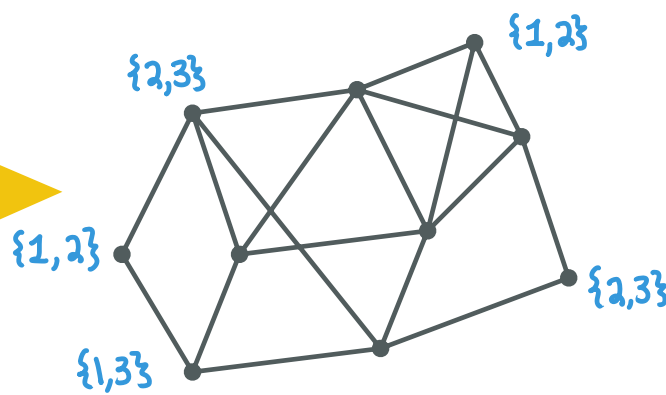


3-SAT

$f(x_1, \dots, x_n) =$

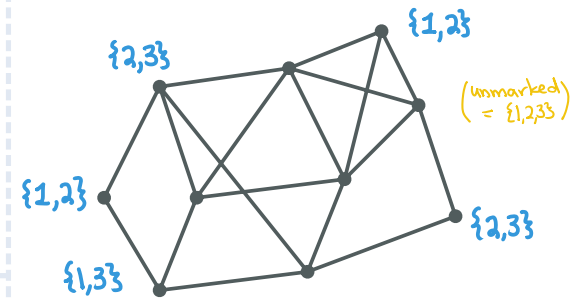
$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$

$(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



Convenient to name colors:

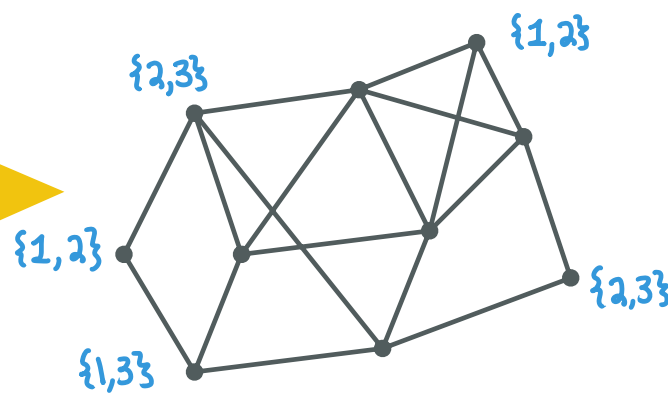
"T" = "True"

"F" = "False"

"N" = "Neither"

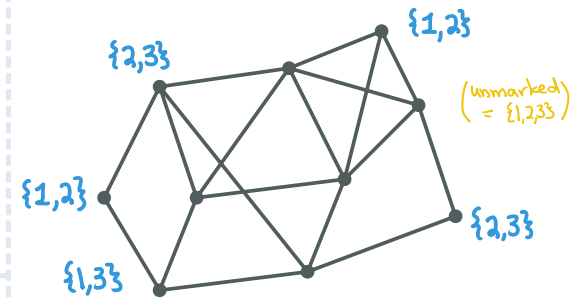
3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$

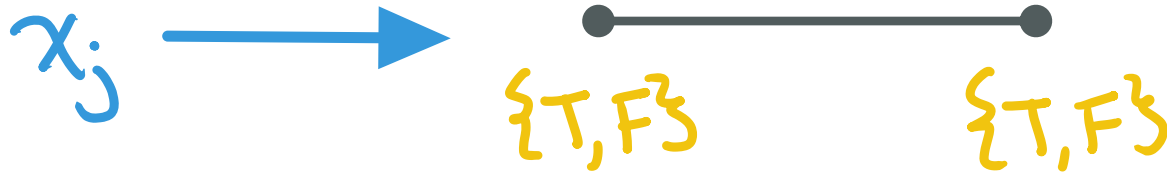


Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



① variables



name colors:

"T" = "True"

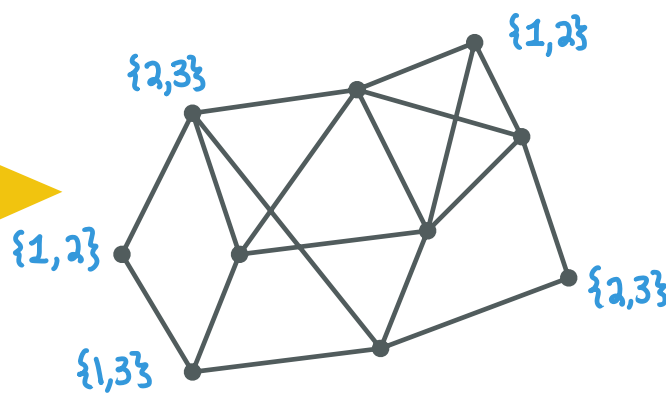
"F" = "False"

"N" = "Neither"

interpretation: $x_j = A_j.\text{color}$
 $\bar{x}_j = \bar{A}_j.\text{color}$

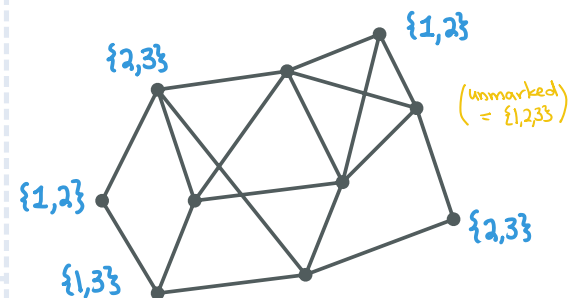
3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



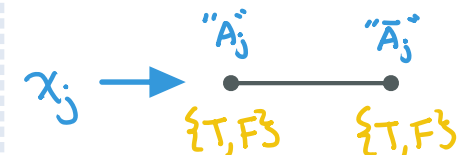
name colors:

"T" = "True"

"F" = "False"

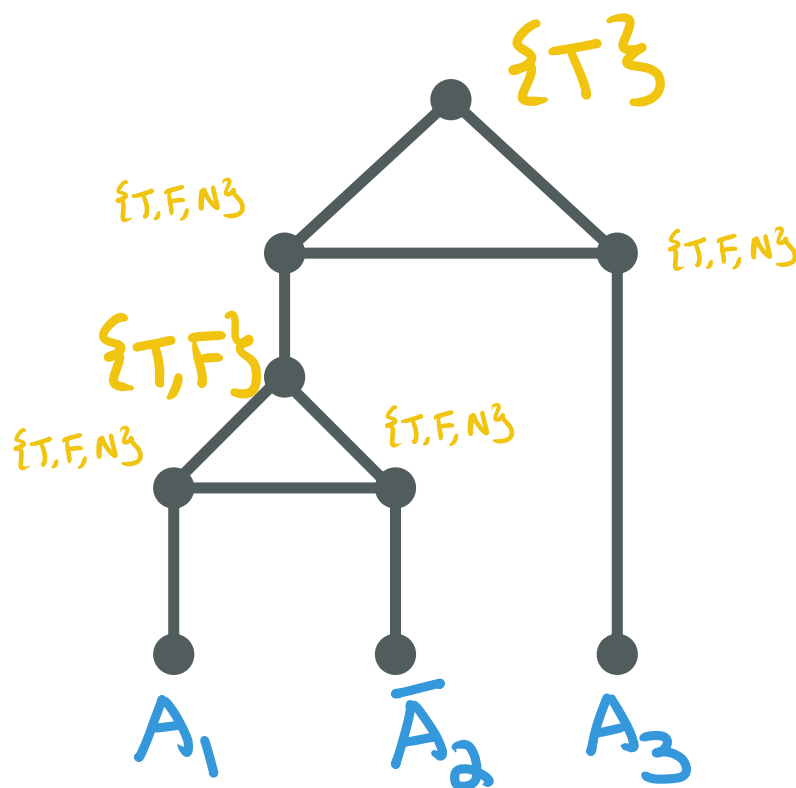
"N" = "Neither"

① variables

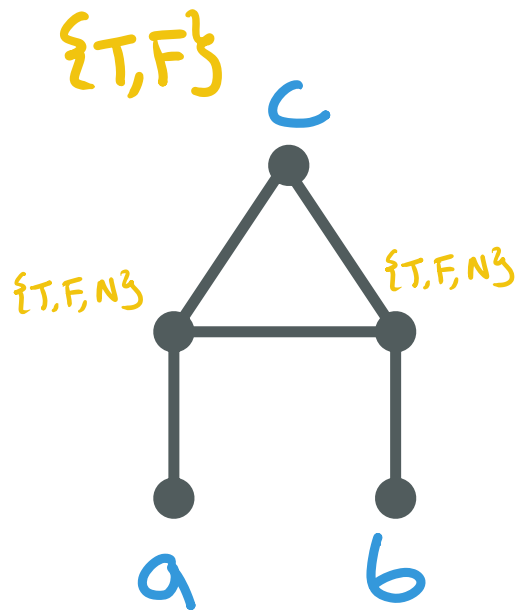


② clauses

$$x_1 \vee \bar{x}_2 \vee x_3 \Rightarrow$$



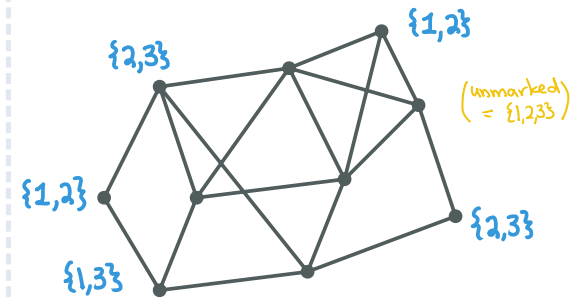
Idea: "or-gadget"



Lemma

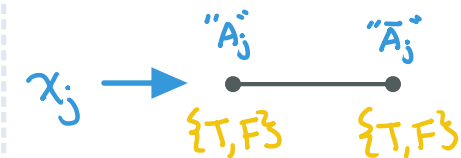
$c.\text{color} = \text{True}$
possible iff $a \vee b = \text{False}$

Subset 3-coloring
for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
of colors allowed for v .

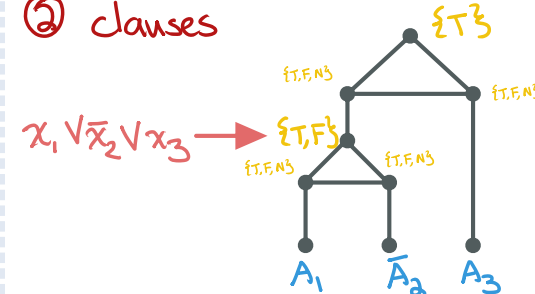


name colors:
"T" = "True"
"F" = "False"
"N" = "Neither"

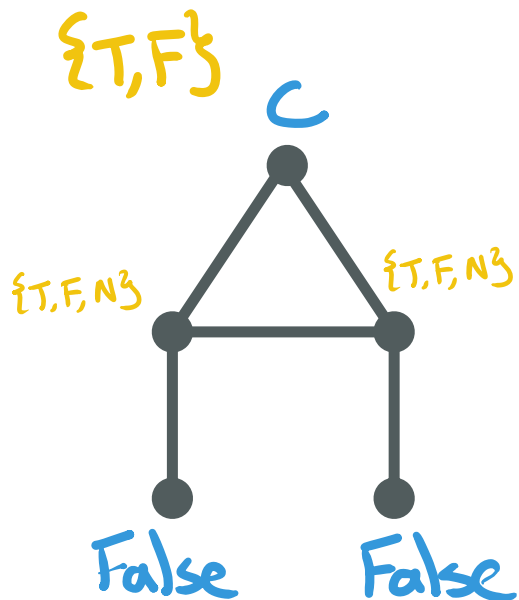
① variables



② clauses

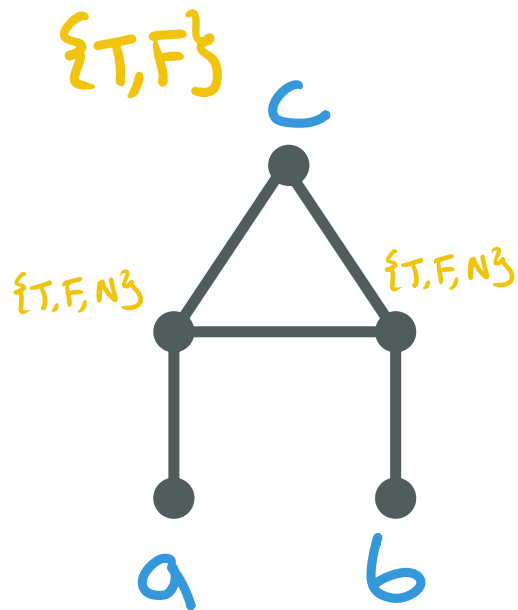


Proof



forces $c = \text{False}$

Idea: "or-gadget"

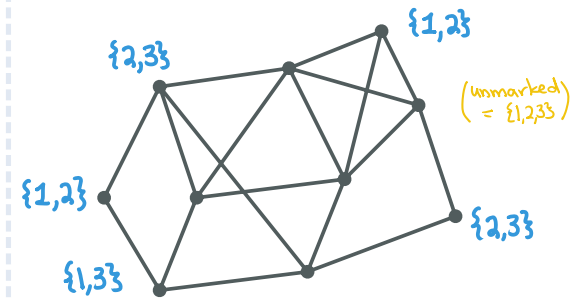


Lemma

$c.\text{color} = \text{True}$
possible iff $a \vee b = \text{True}$

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



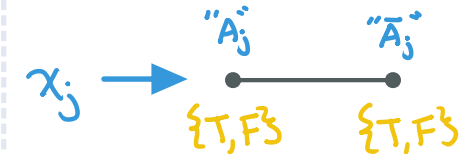
name colors:

"T" = "True"

"F" = "False"

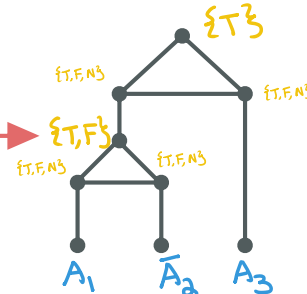
"N" = "Neither"

① variables



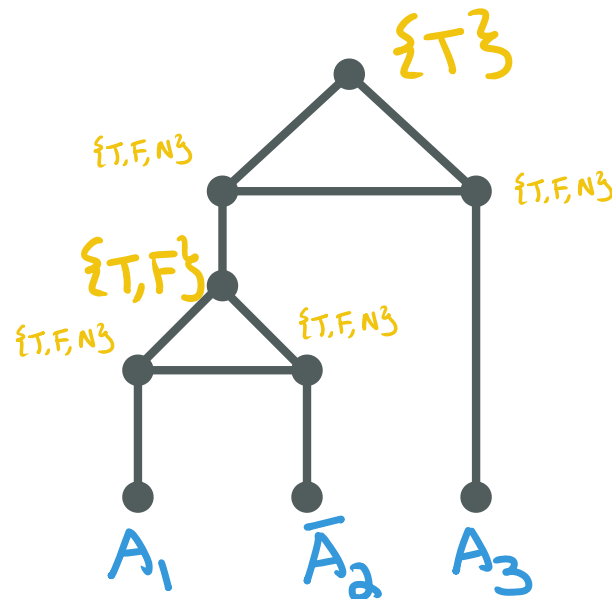
② clauses

$x_1 \vee \bar{x}_2 \vee x_3 \rightarrow$



$x_1 \vee \bar{x}_2 \vee x_3 \Rightarrow$

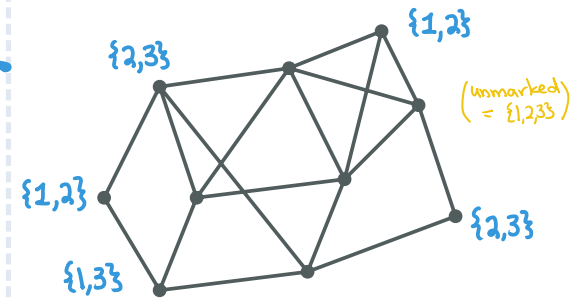
combines
2 V-gadgets



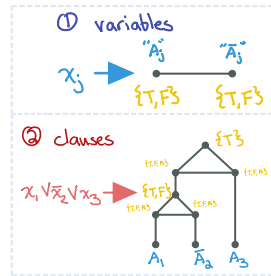
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .

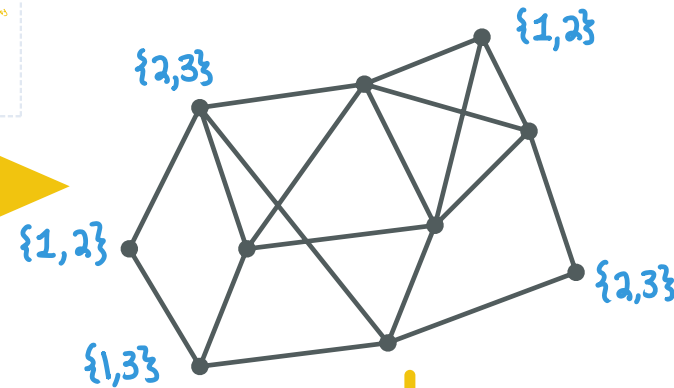


claim: polytime algo for subset 3-coloring
implies polytime algo for 3-SAT



3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



Yes/no

